

# TROPOSPHERIC AEROSOLS: THE WILD CARD IN RADIATIVE FORCING OF CLIMATE CHANGE

Stephen E. Schwartz  
Environmental Sciences Department



Symposium on the  
Chemistry of Global Climate Change  
American Chemical Society

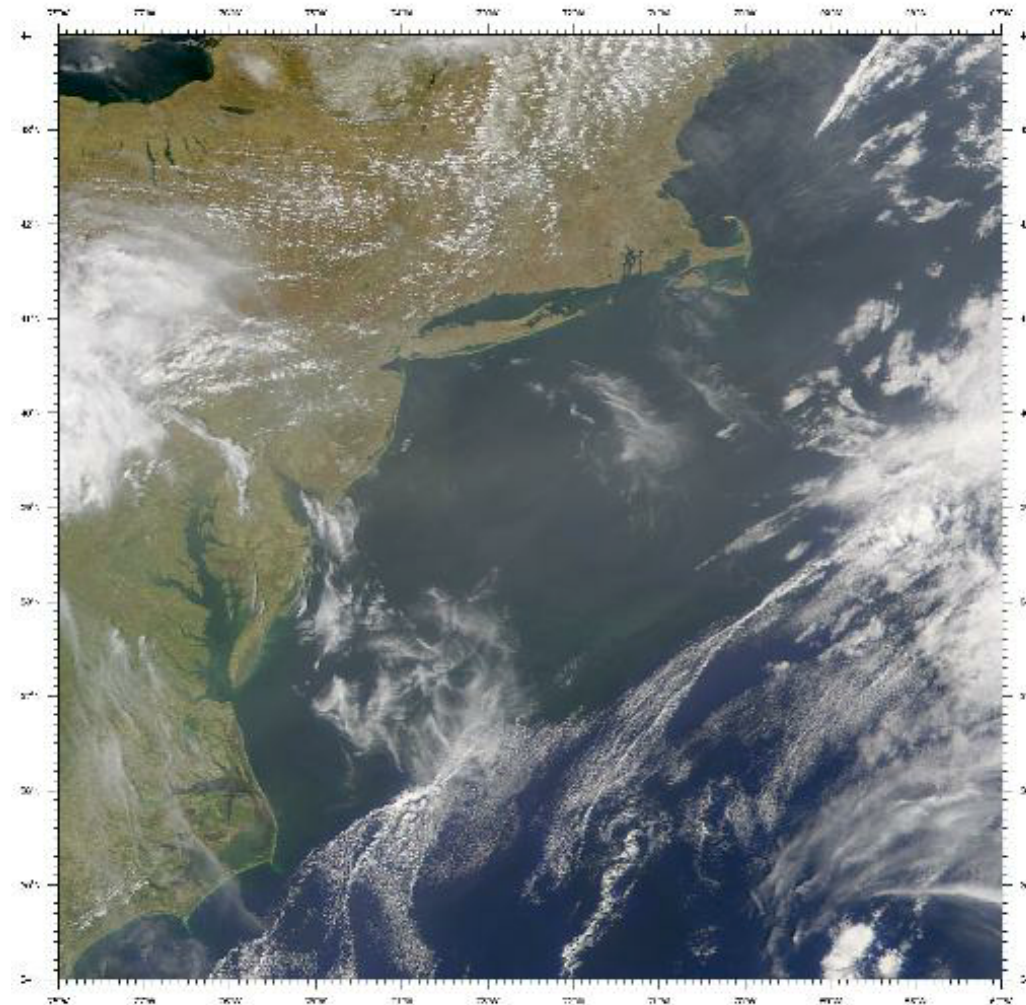


226<sup>th</sup> National Meeting  
September 7 – 11, 2003  
New York City

<http://www.ecd.bnl.gov/steve/schwartz.html>



# AEROSOL: A suspension of particles in air



2001-04-22-17:28

*SeaWiFS Project, NASA/Goddard Space Flight Center, and ORBIMAGE*

Atmospheric aerosols may result from primary emissions (dust, smoke) or from gas to particle conversion in the atmosphere (haze, smog).

# KEY POINTS OF THIS PRESENTATION

- *Radiative forcing of climate change by anthropogenic aerosols is substantial in the context of other forcings of climate change over the industrial period.*

Cooling forcings of *tens of watts per square meter* have been demonstrated *locally and instantaneously*.

*Global annual mean forcings of -1 to -3 W m<sup>-2</sup>* are plausible given present understanding.

- *Uncertainty in radiative forcing of climate change by anthropogenic aerosols is the **greatest source of uncertainty** in forcing of climate change.*

This uncertainty precludes:

- ***Evaluation of models*** of climate change
- ***Inference of climate sensitivity*** from temperature changes over the industrial period.
- ***Informed policy making*** on greenhouse gases.

*cont'd . . .*

## KEY POINTS OF THIS PRESENTATION (*cont'd*)

- *Confidence in present estimates of global sensitivity to climate change may be greatly overstated.*
- *Radiative forcing by aerosols cannot be an effective means of counteracting forcing by greenhouse gases.*

Aerosols are short lived in the atmosphere (days).

Greenhouse gases are long-lived (decades)

***In the long run GHGs will win.***



# OUTLINE OF THIS PRESENTATION

- *Forcing and climate sensitivity*
- *Mechanisms of radiative forcing by aerosols*
  - Direct
  - Indirect (via clouds)
- *Magnitudes of radiative forcing by aerosols*
  - Local and instantaneous
  - Global
- *Uncertainties in radiative forcing by aerosols*
  - Causes
  - Magnitudes
- *Implications of these uncertainties*
- *What must be done to reduce these uncertainties?*

# TOP-LEVEL QUESTION IN CLIMATE CHANGE SCIENCE

- *How much will the global mean temperature change?*

$$\Delta T = \lambda F$$

where  $F$  is the *forcing* and  $\lambda$  is the *climate sensitivity*.

- A *forcing* is a change in a radiative flux component,  $\text{W m}^{-2}$ .
- Forcings are thought to be *additive* and *fungible*.

- *What is Earth's climate sensitivity?*

- *National Academy Report (Charney, 1979):*

“ We estimate the most probable global warming for a doubling of  $\text{CO}_2$  to be *near 3 degrees C*, with a probable error of *plus or minus 1.5 degrees*.

- *Intergovernmental Panel on Climate Change (IPCC, 2001):*

“ Climate sensitivity [to  $\text{CO}_2$  doubling] is likely to be in the range *1.5 to 4.5°C*.

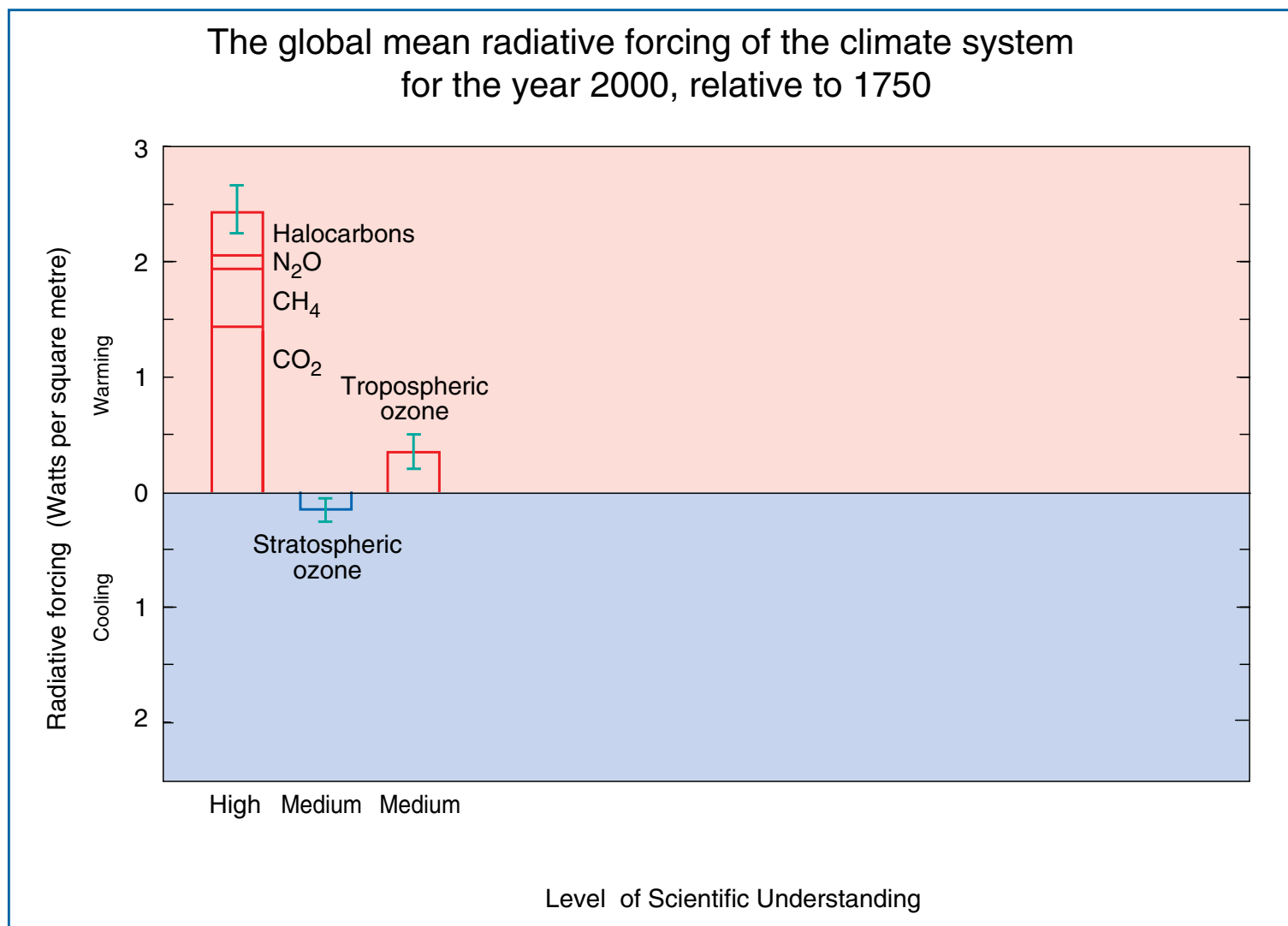
# HOW CAN CLIMATE SENSITIVITY BE DETERMINED?

$$\text{Climate sensitivity } \lambda = \Delta T / F$$

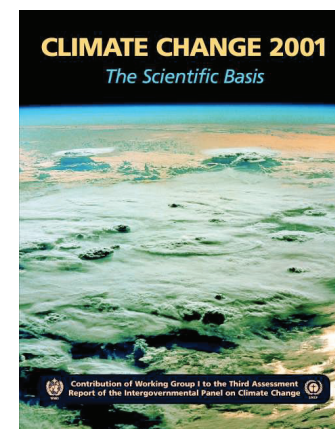
- *Climate models* evaluated by performance on prior climate change and/or
- *Empirical determination* from prior climate change
- Either way,  $\Delta T$  and  $F$  must be determined with sufficiently small uncertainty to yield an uncertainty in  $\lambda$  that is useful for informed decision making.
- Present generally accepted uncertainty in  $\lambda$  (1.5 to 4.5°C) — a factor of 3 — is not very useful for policy planning purposes.
- *Uncertainty may be much greater!*

# RADIATIVE FORCING OVER THE INDUSTRIAL PERIOD IPCC (2001)

Greenhouse gases only



Summary for Policymakers A Report of Working Group I of the Intergovernmental Panel on Climate Change



# AEROSOL INFLUENCES ON RADIATION BUDGET AND CLIMATE

## *Direct Effect (Cloud-free sky)*

Light scattering -- Cooling influence

Light absorption -- Warming influence, depending on surface

## *Indirect Effects (Aerosols influence cloud properties)*

More droplets -- Brighter clouds (Twomey)

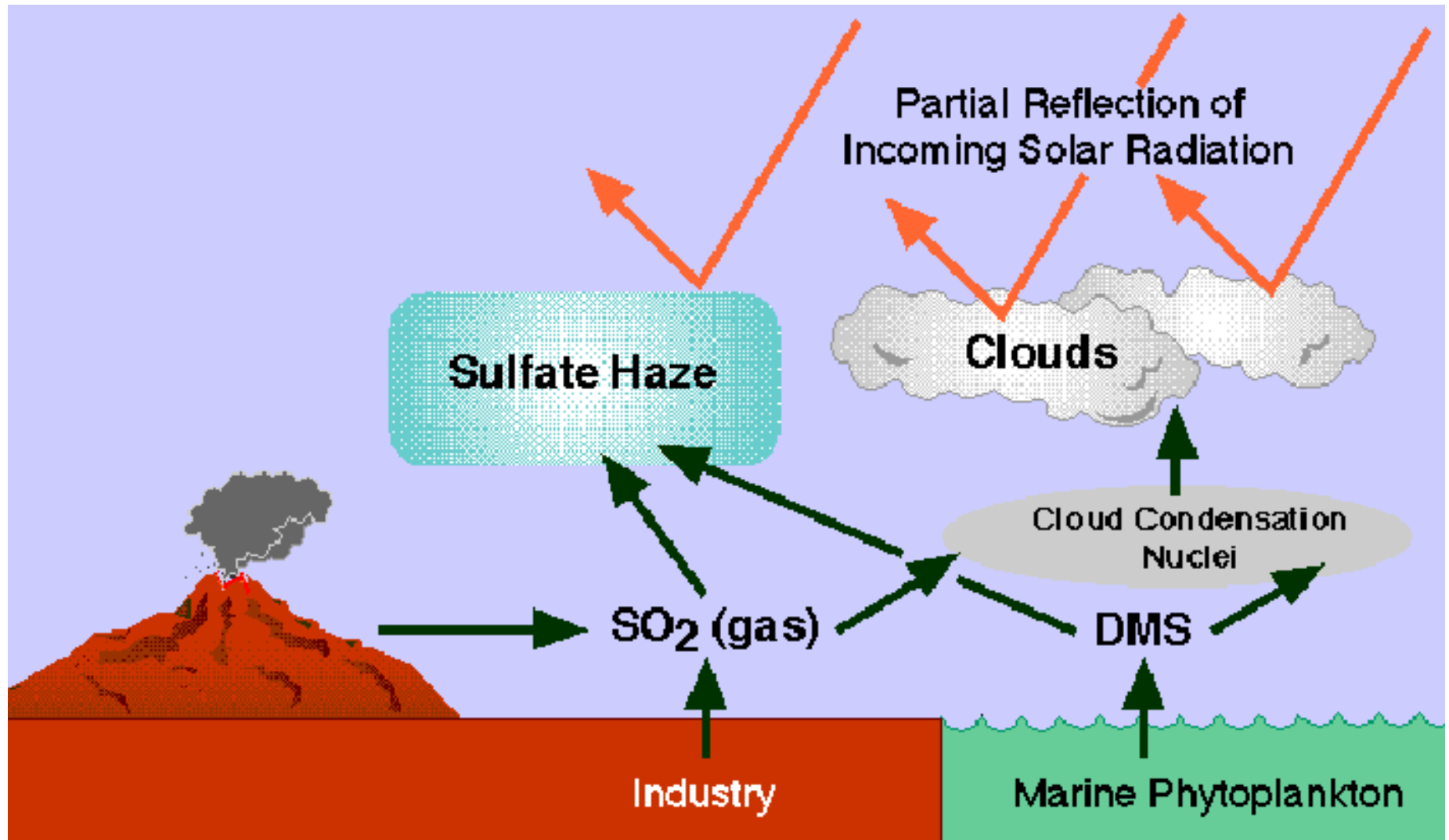
More droplets -- Enhanced cloud lifetime (Albrecht)

## *Semi-Direct Effect*

Absorbing aerosol heats air and evaporates clouds



# CLIMATE FORCING BY SULFATE AEROSOL



Forcing is the change in absorbed solar irradiance due to the presence of the aerosol.

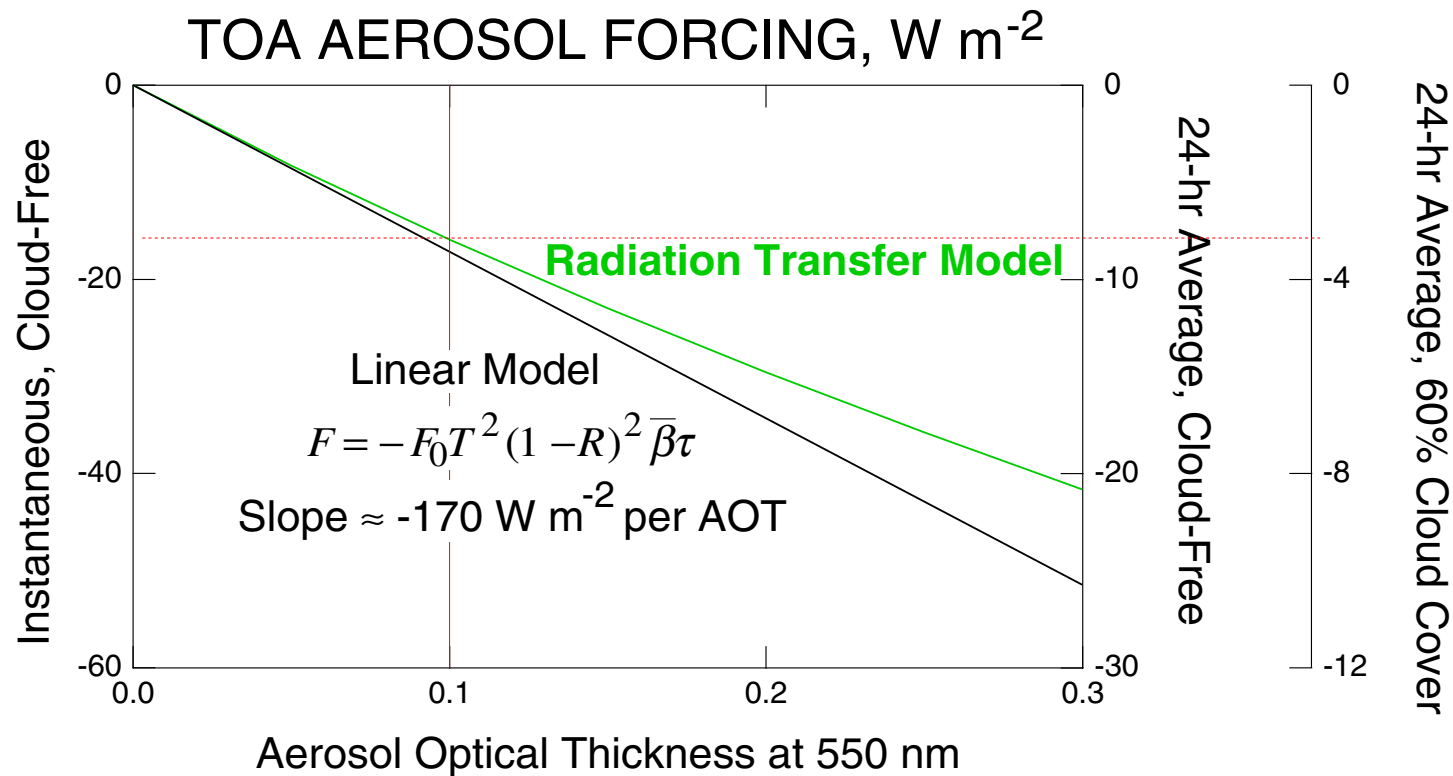
# **DIRECT EFFECT**

# DIRECT AEROSOL FORCING AT TOP OF ATMOSPHERE

## Dependence on Aerosol Optical Thickness

### Comparison of Linear Formula and Radiation Transfer Model

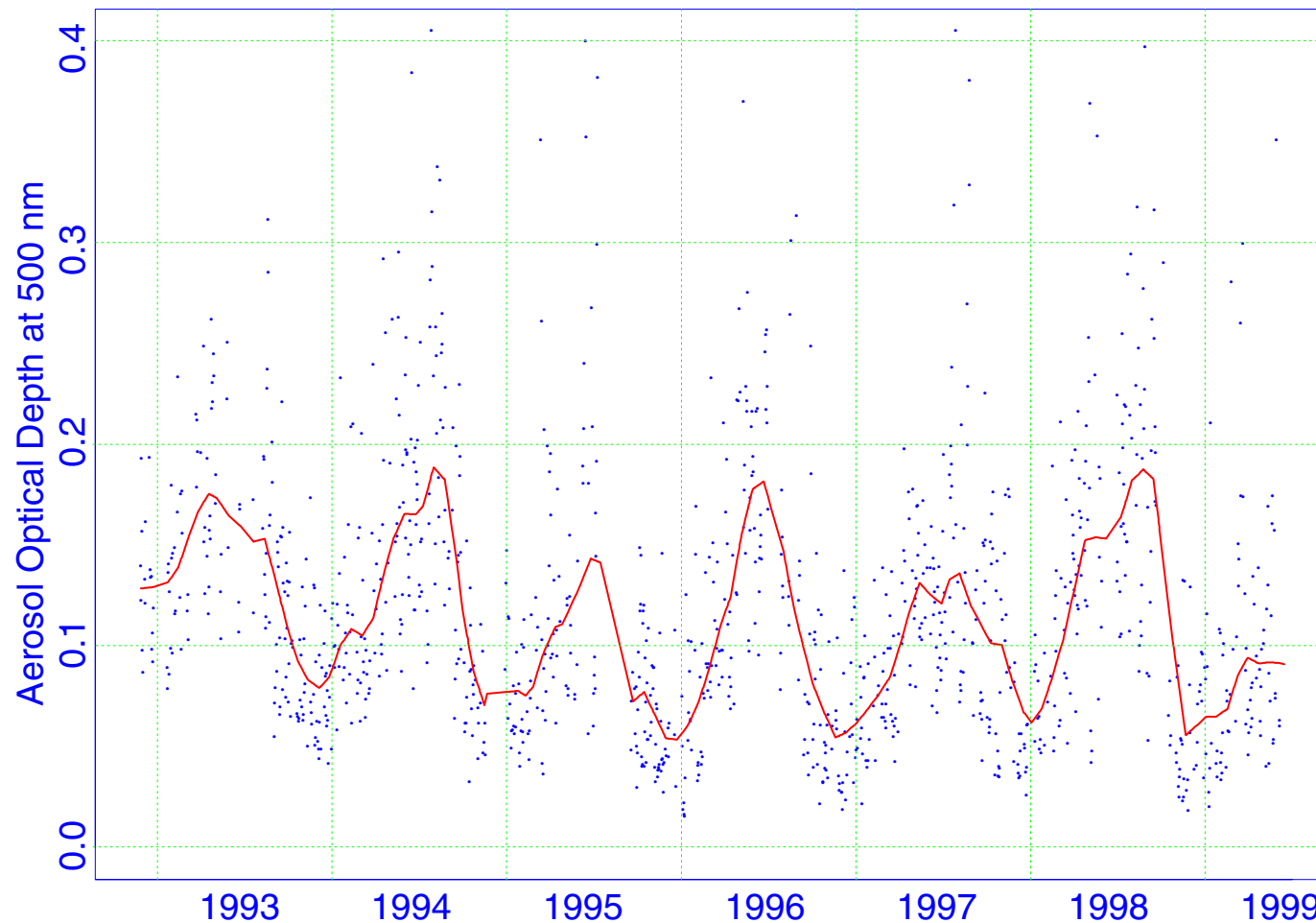
Particle radius  $r = 85$  nm; surface reflectance  $R = 0.15$ ; single scatter albedo  $\omega_0 = 1$ .



Global-average AOT 0.1 corresponds to global-average forcing  $-3.2 \text{ W m}^{-2}$ .

# AEROSOL OPTICAL DEPTH

Determined by Sunphotometry  
North Central Oklahoma - Daily Average



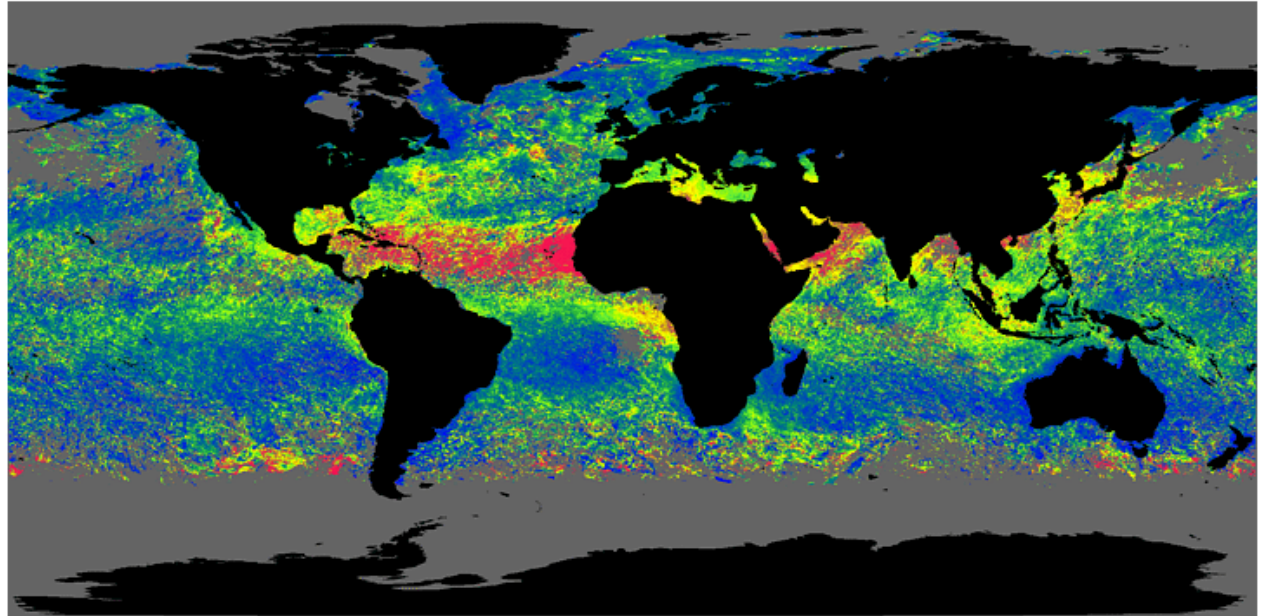
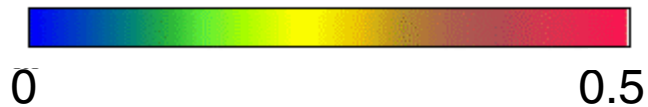
*J. Michalsky et al., JGR, 2001*

# MONTHLY AVERAGE AEROSOL JUNE 1997

Polder radiometer on Adeos satellite

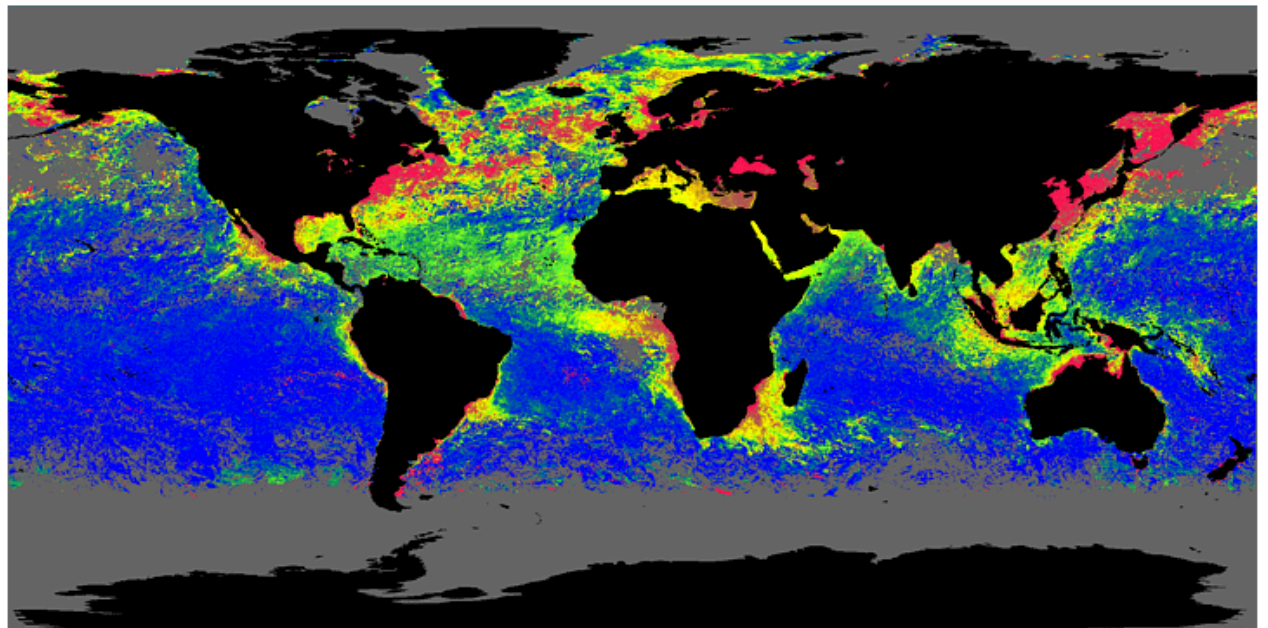
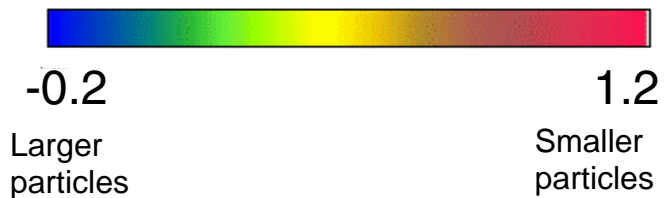
Optical Thickness  $\tau$

$\lambda = 865 \text{ nm}$



Ångström Exponent  $\alpha$

$$\alpha = -d \ln \tau / d \ln \lambda$$

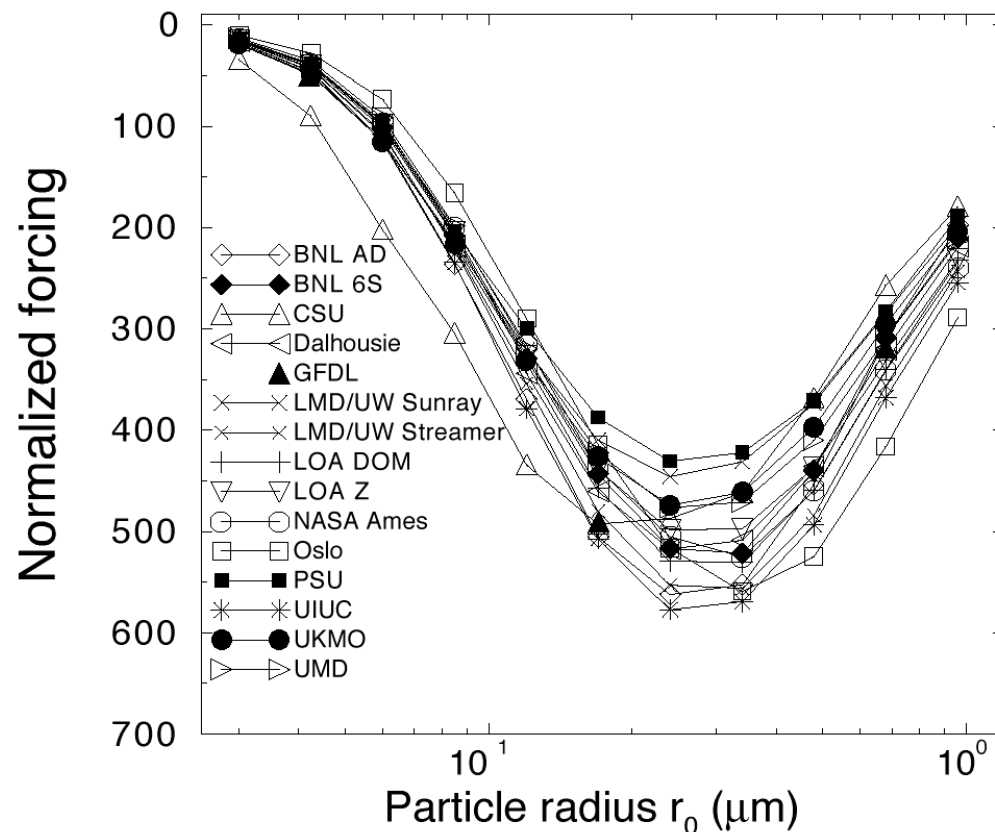




# INTERCOMPARISON OF BROADBAND SHORTWAVE FORCING BY AMMONIUM SULFATE AEROSOL

Normalized global-average forcing:  $\text{W m}^{-2} / \text{g}(\text{SO}_4^{2-}) \text{ m}^{-2}$  or  $\text{W /g}(\text{SO}_4^{2-})$

Aerosol optical depth 0.2; surface albedo 0.15

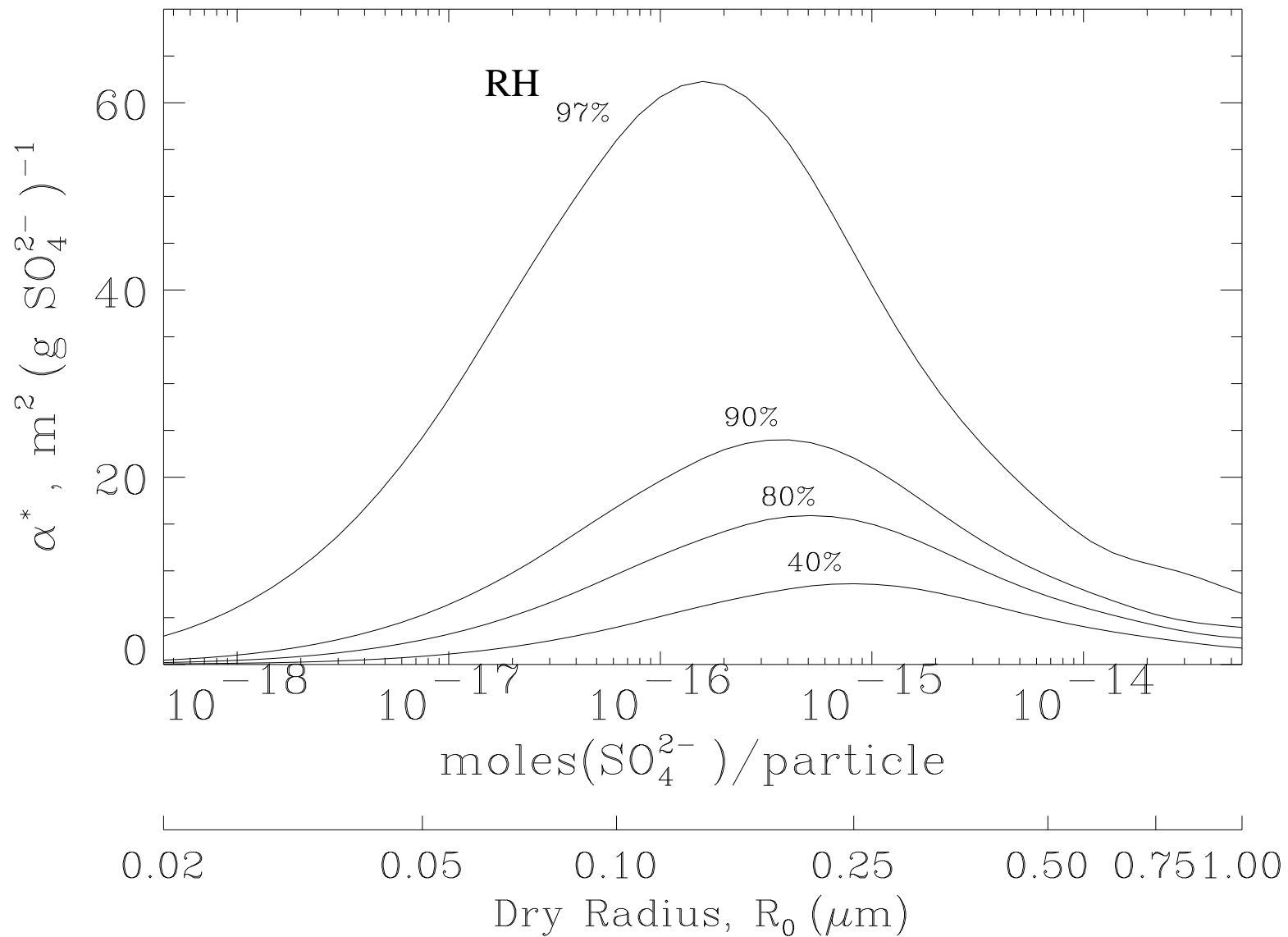


Standard deviation  $\sim 8\%$  for 15 models at radius  $\sim 200$  nm.

*Boucher, Schwartz and 28 co-authors, JGR, 1998*

# LIGHT SCATTERING EFFICIENCY OF $(\text{NH}_4)_2\text{SO}_4$

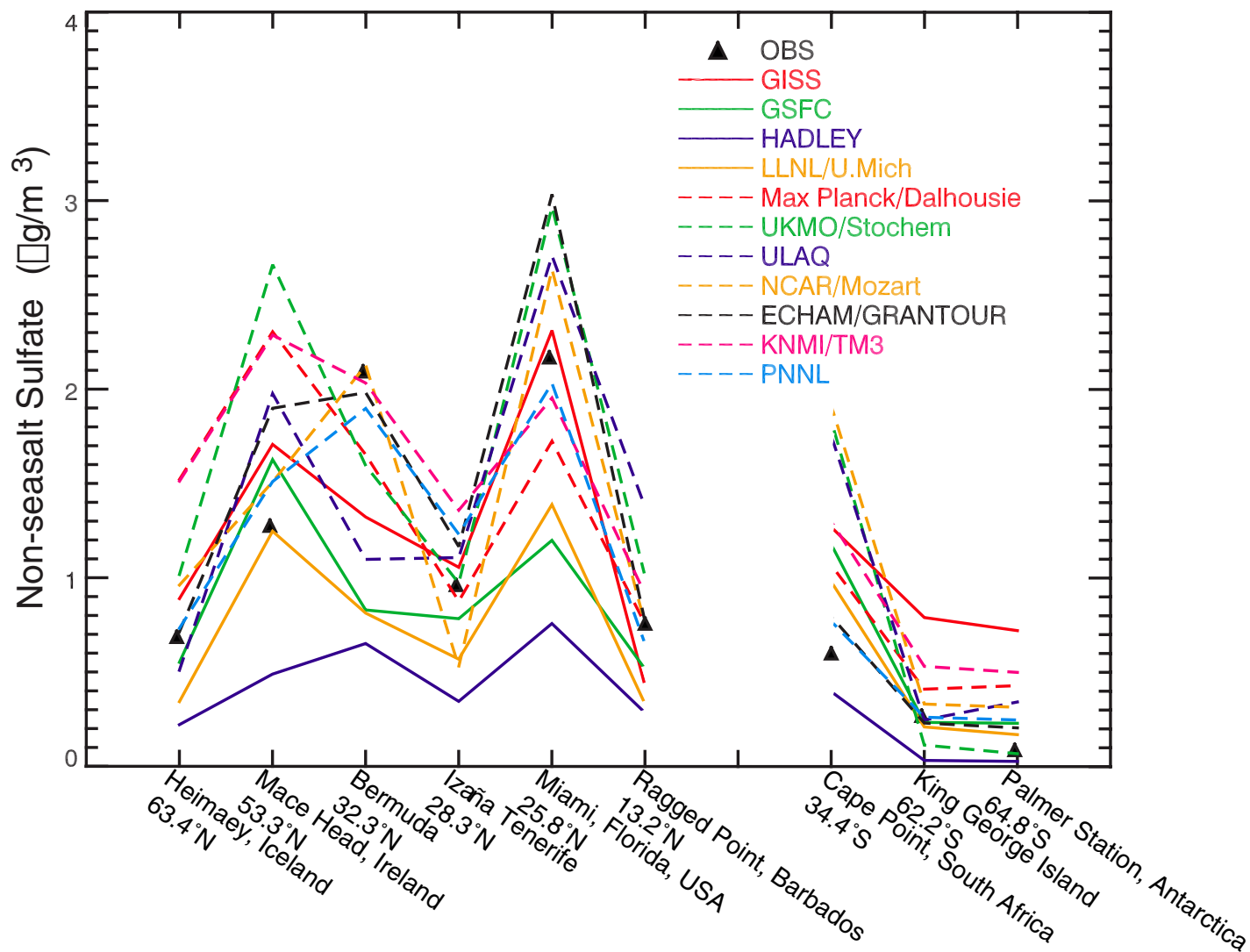
## DEPENDENCE ON PARTICLE SIZE AND RH



*Nemesure, Wagener & Schwartz, JGR, 1995*

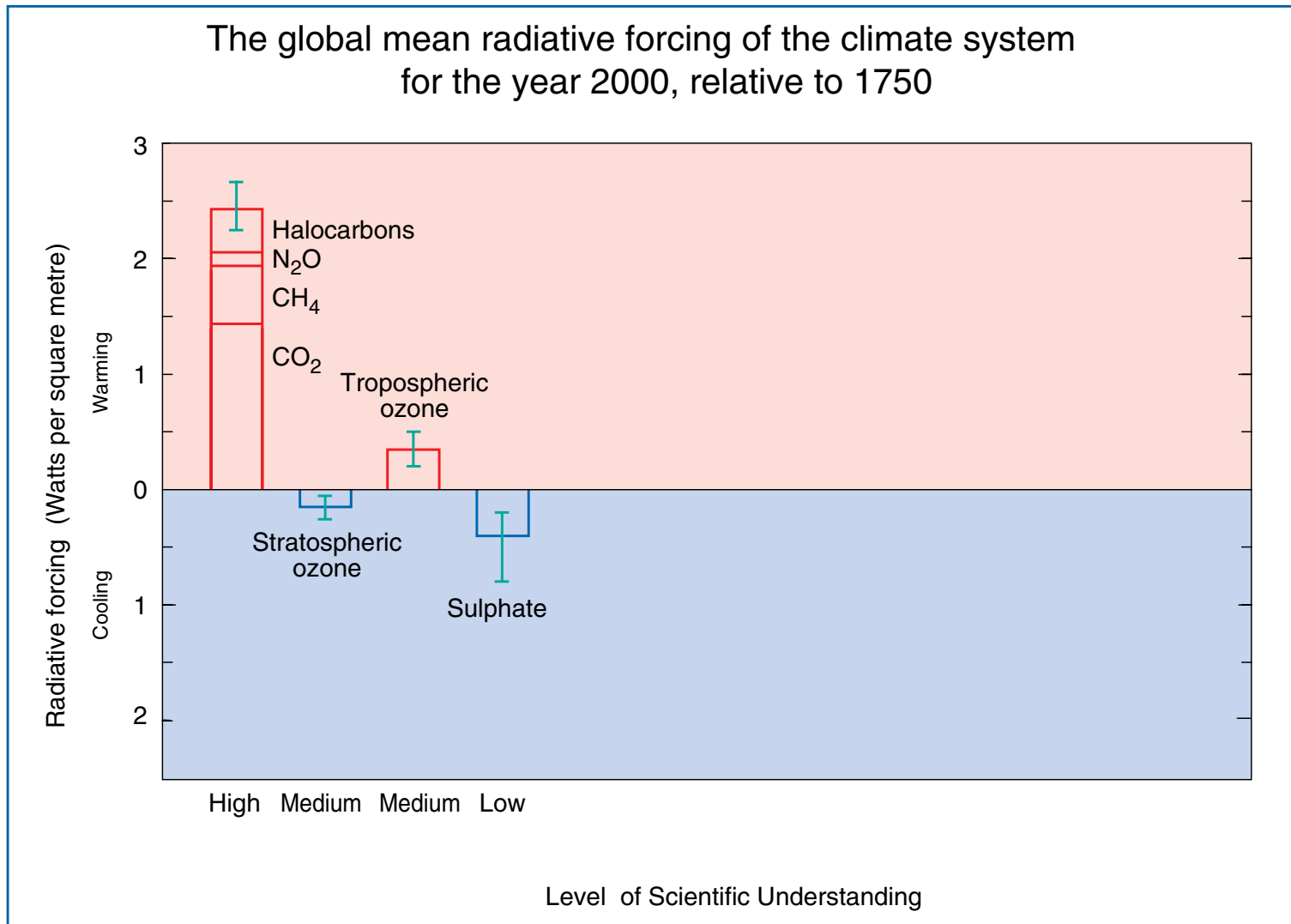
# SULFATE MODEL INTERCOMPARISON

Annual average non-seasalt sulfate in 11 chemical transport models and comparison with observations at nine stations



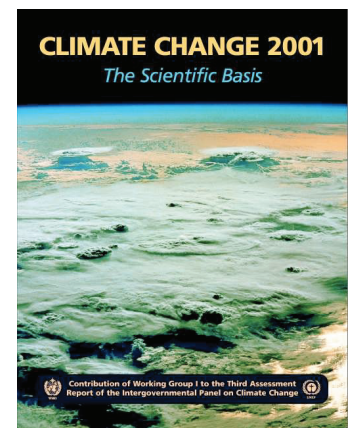
# RADIATIVE FORCING OVER THE INDUSTRIAL PERIOD IPCC (2001)

GHG's and sulfate aerosol direct effects



Summary for Policymakers

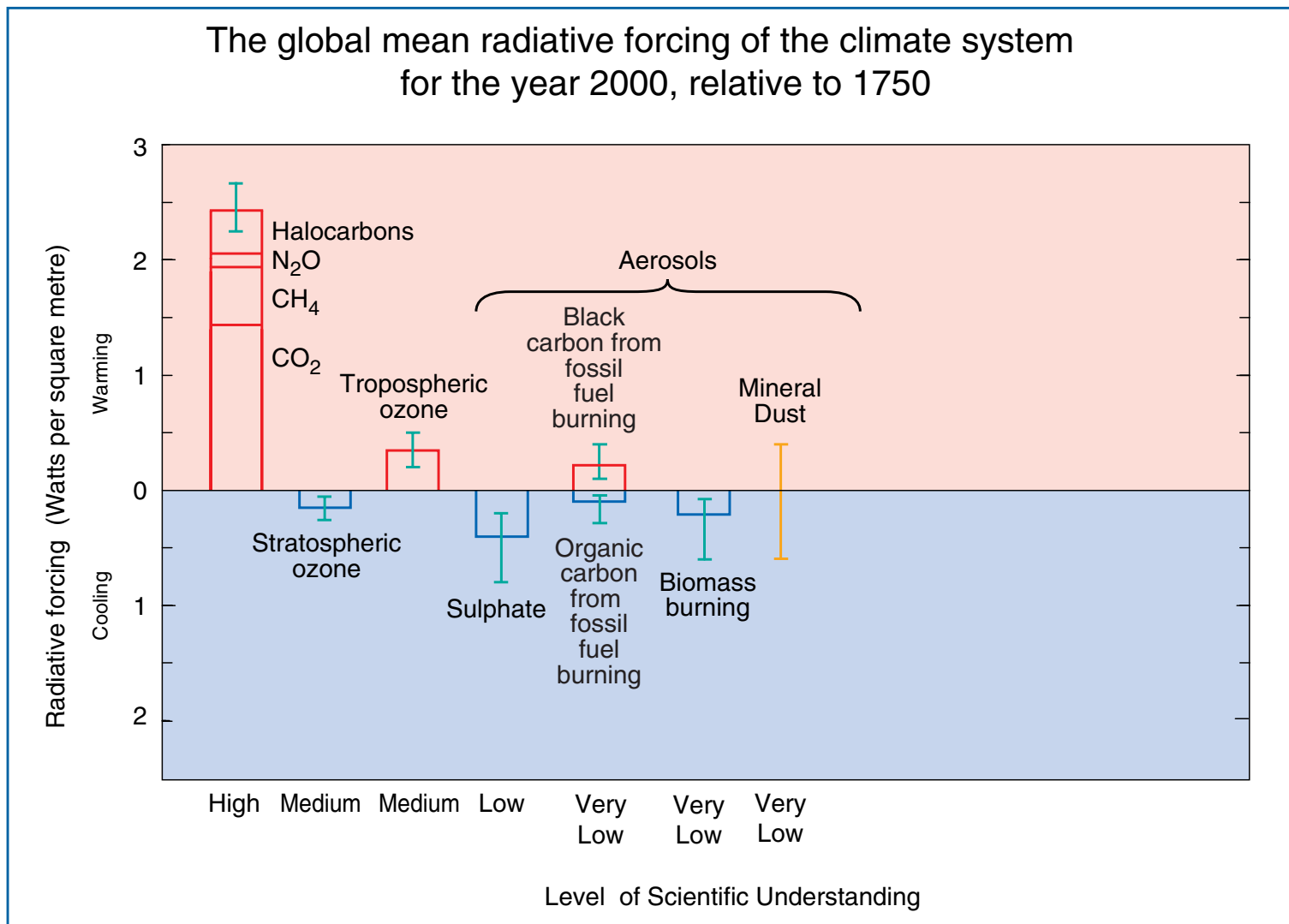
A Report of Working Group I of the  
Intergovernmental Panel on Climate Change



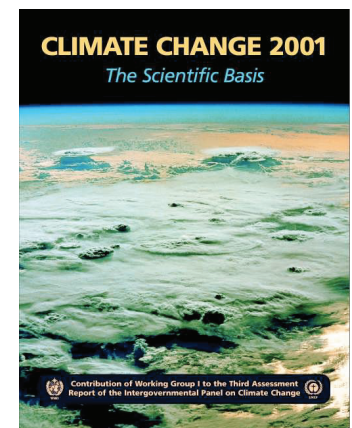
# RADIATIVE FORCING OVER THE INDUSTRIAL PERIOD

## IPCC (2001)

### GHG's and aerosol direct effects



Summary for Policymakers A Report of Working Group I of the Intergovernmental Panel on Climate Change

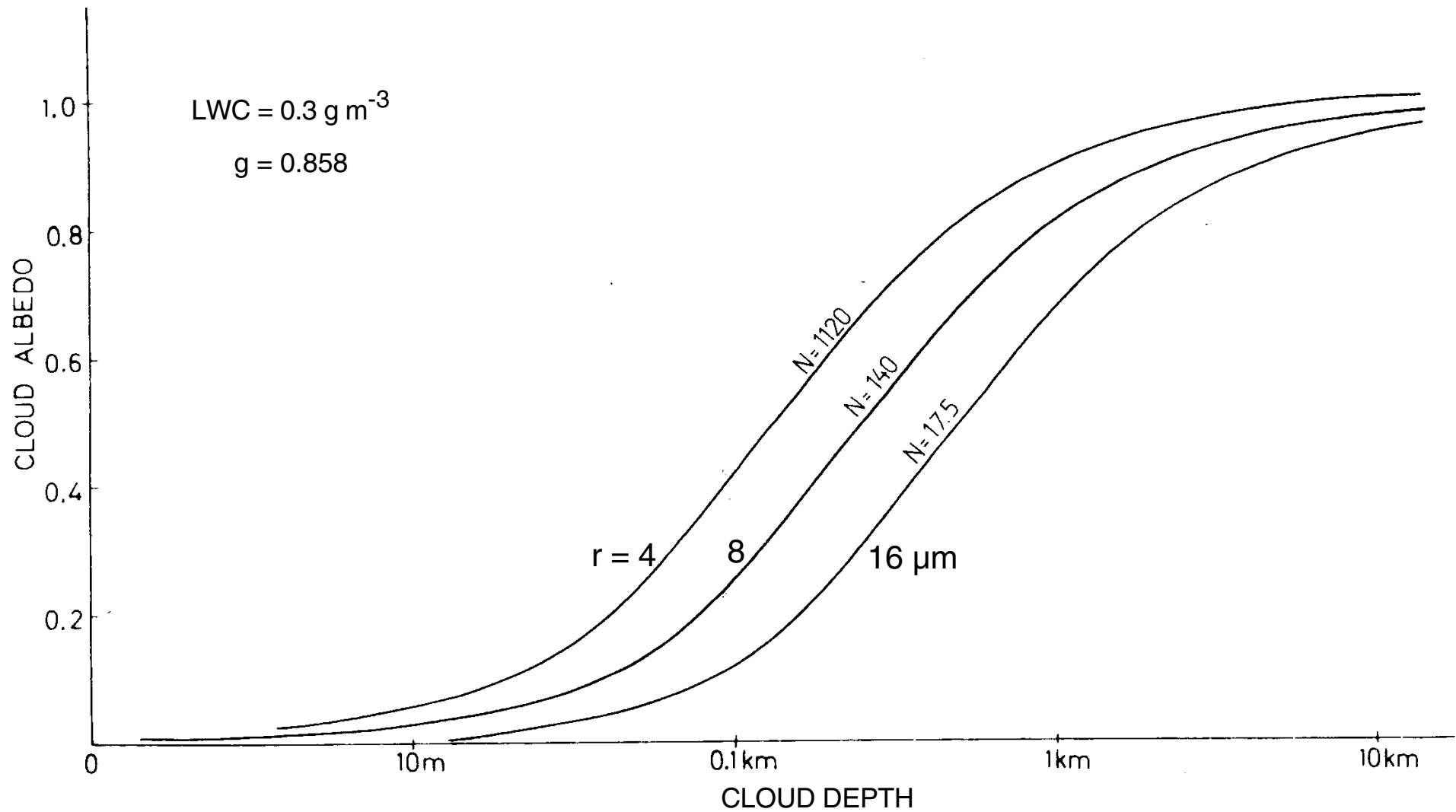




# INDIRECT EFFECT

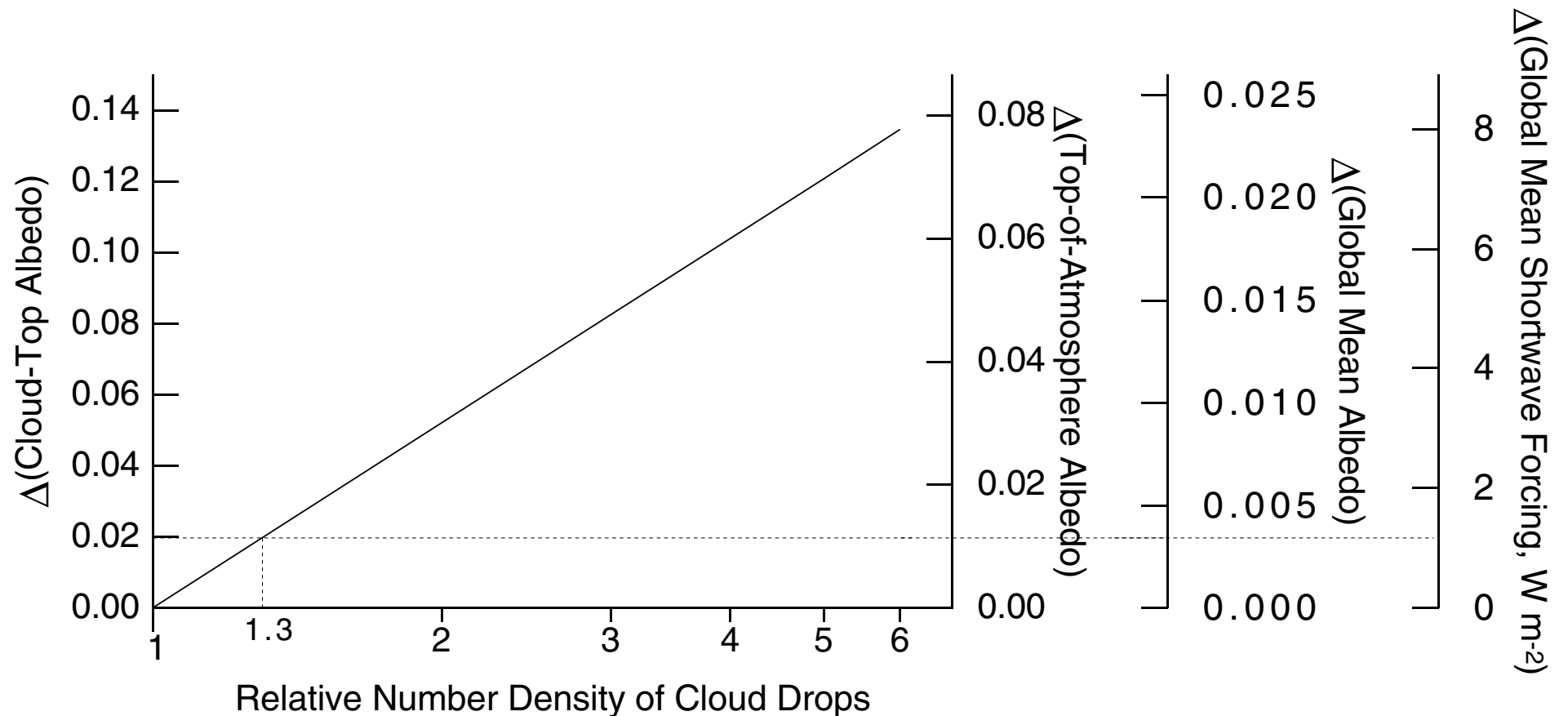
# DEPENDENCE OF CLOUD ALBEDO ON CLOUD DEPTH

Influence of Cloud Drop Radius and Concentration



Twomey, *Atmospheric Aerosols*, 1977

# SENSITIVITY OF ALBEDO AND FORCING TO CLOUD DROP CONCENTRATION



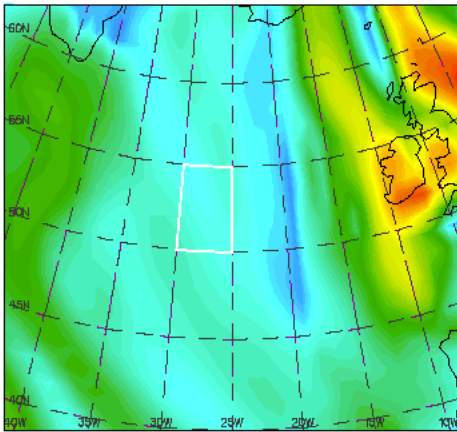
*Schwartz and Slingo (1996)*

# MODELED SULFATE COLUMN BURDEN

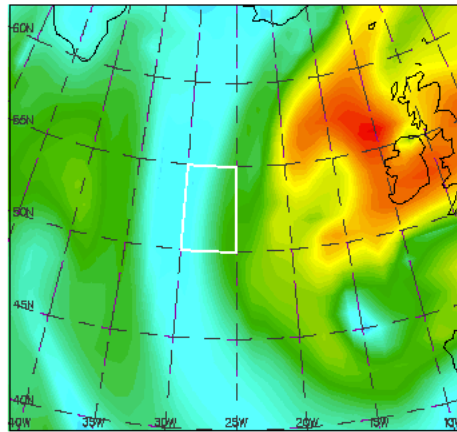
$$\int [\text{SO}_4^{2-}] dz$$

April 2-8, 1987

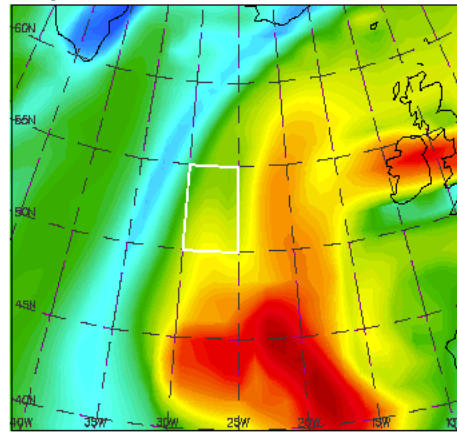
April 2



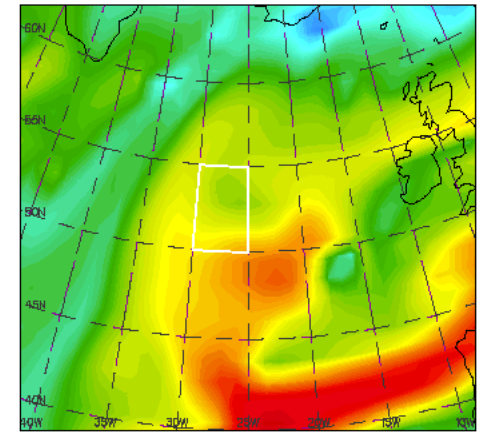
April 3



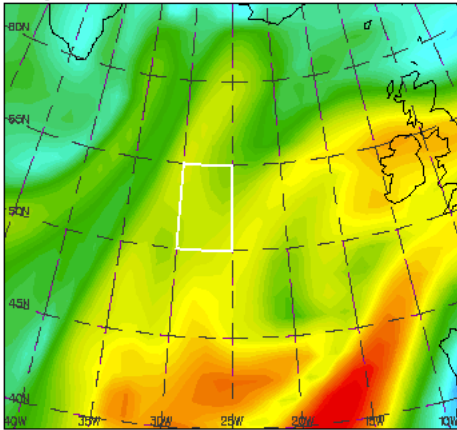
April 4



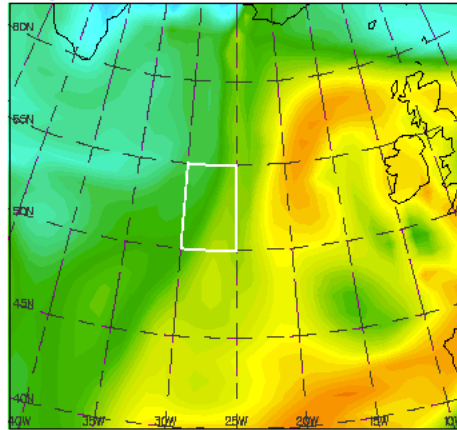
April 5



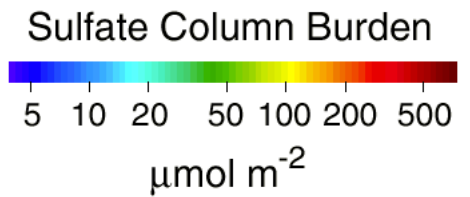
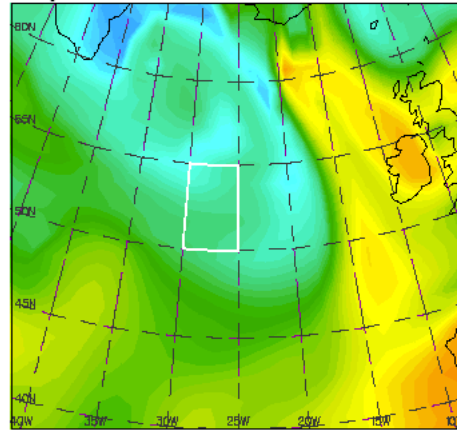
April 6



April 7



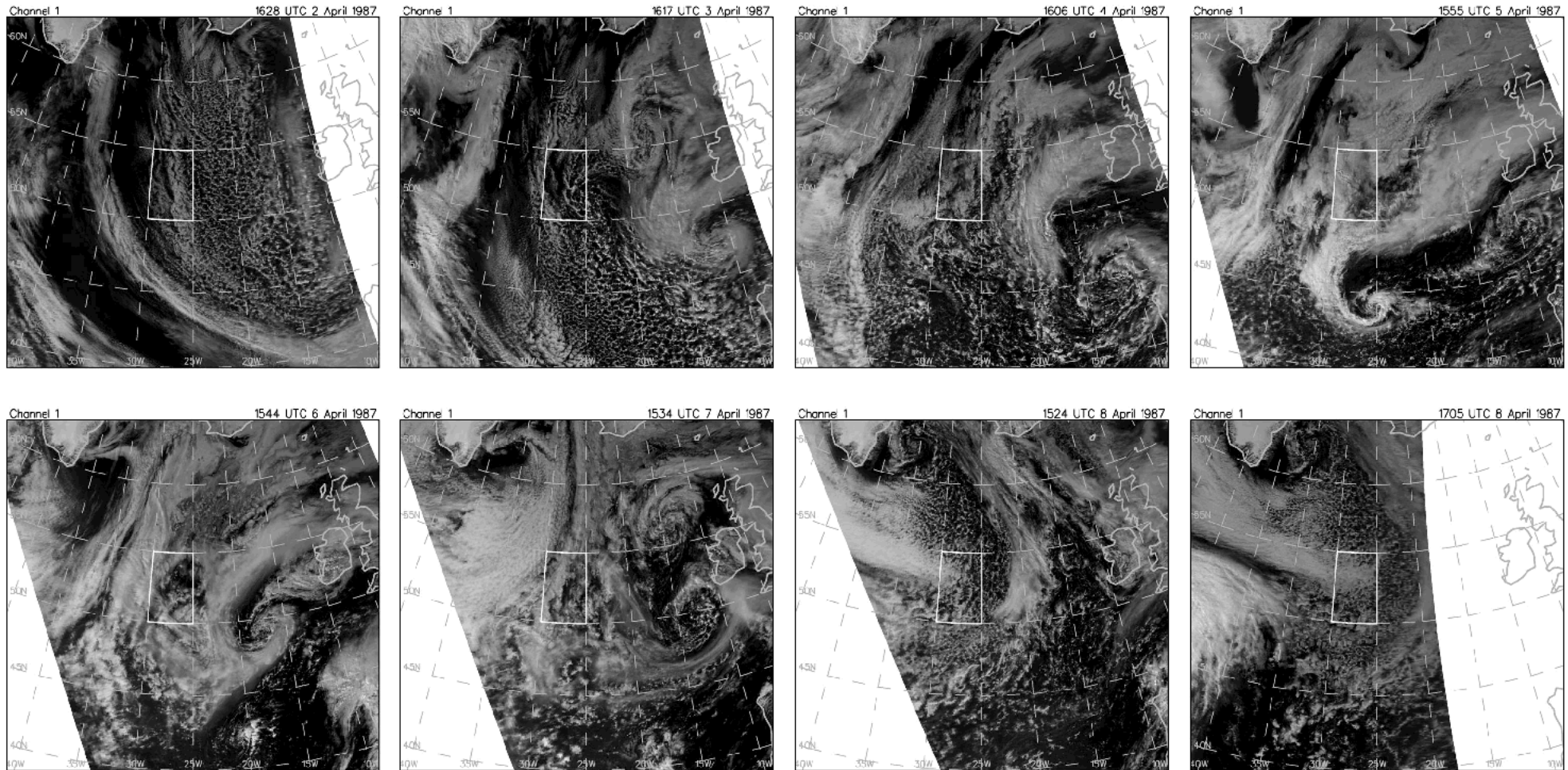
April 8



*Schwartz, Harshvardhan & Benkovitz, PNAS, 2002*

# AVHRR IMAGES APRIL 2-8, 1987

## Channel 1, Visible, 0.58-0.68 $\mu\text{m}$



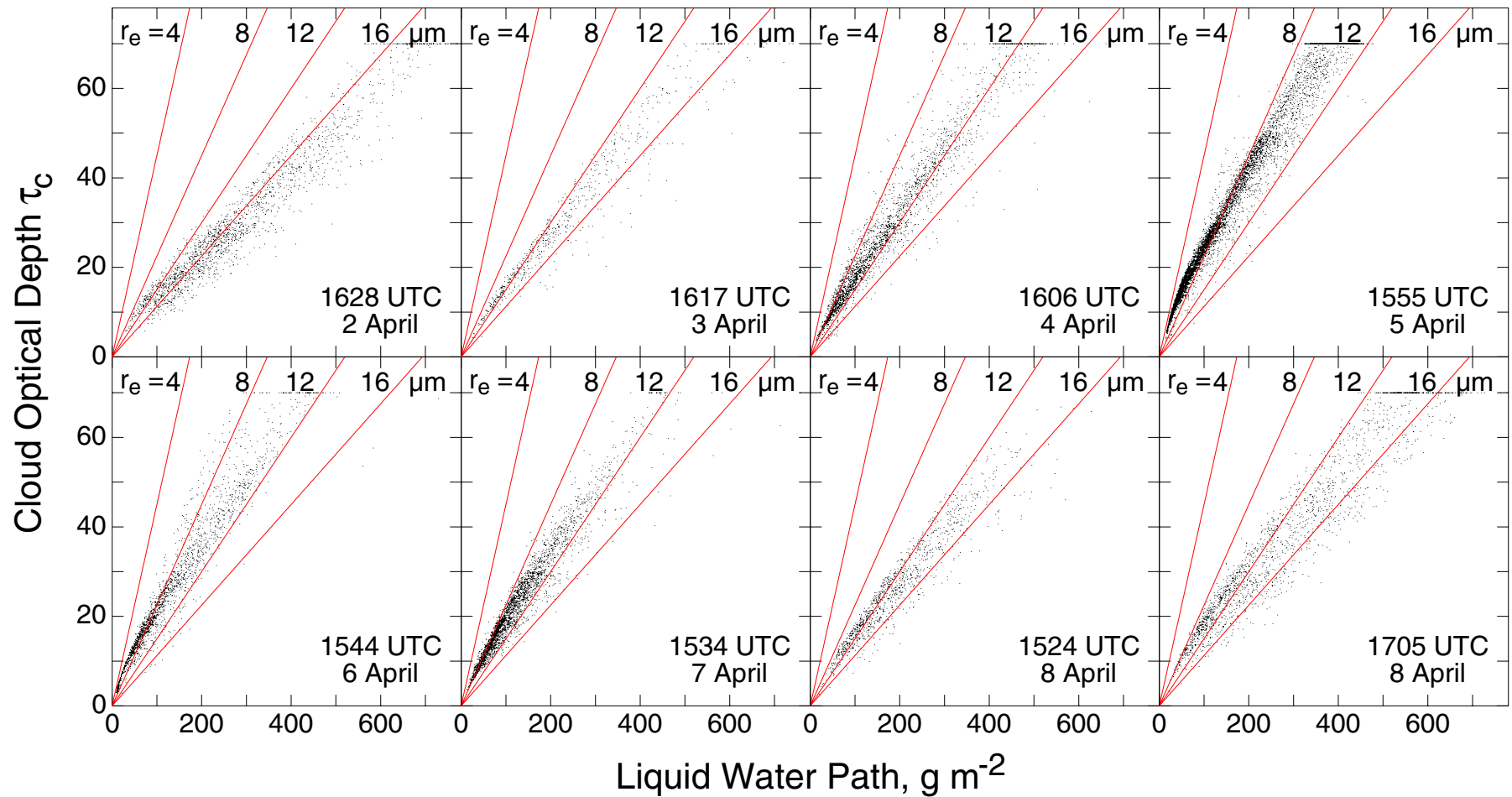
*Harshvardhan, Schwartz, Benkovitz and Guo, J Atmos Sci, 2002*



# CLOUD OPTICAL DEPTH

## Dependence on Liquid Water Path

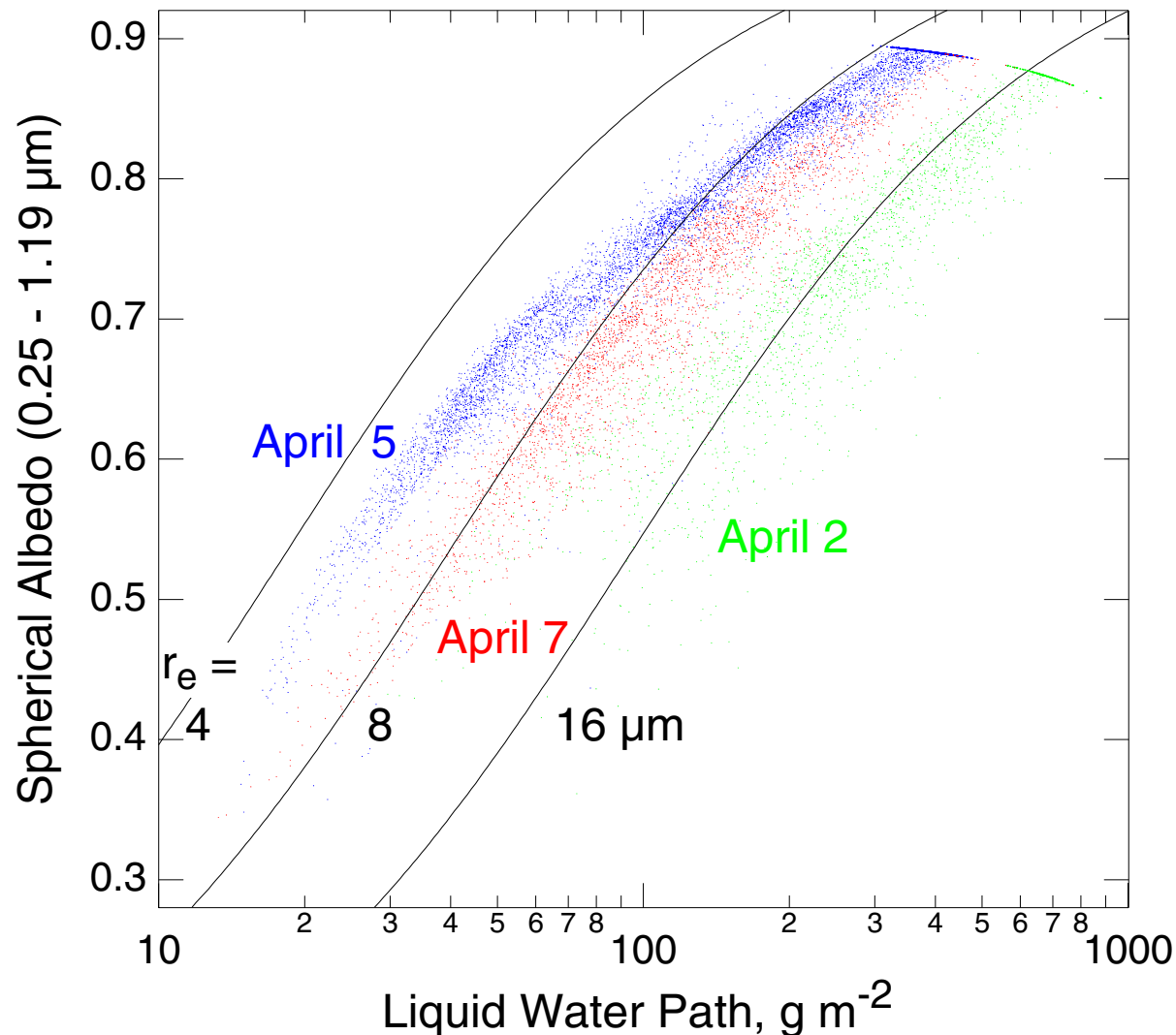
25°-30°W, 50°-55°N April 2-8, 1987



# CLOUD-TOP ALBEDO

## Dependence on Liquid Water Path

25°-30°W, 50°-55°N      April 2, 5 and 7, 1987



# SULFATE COLUMN BURDEN, CLOUD PROPERTIES AND INDIRECT FORCING

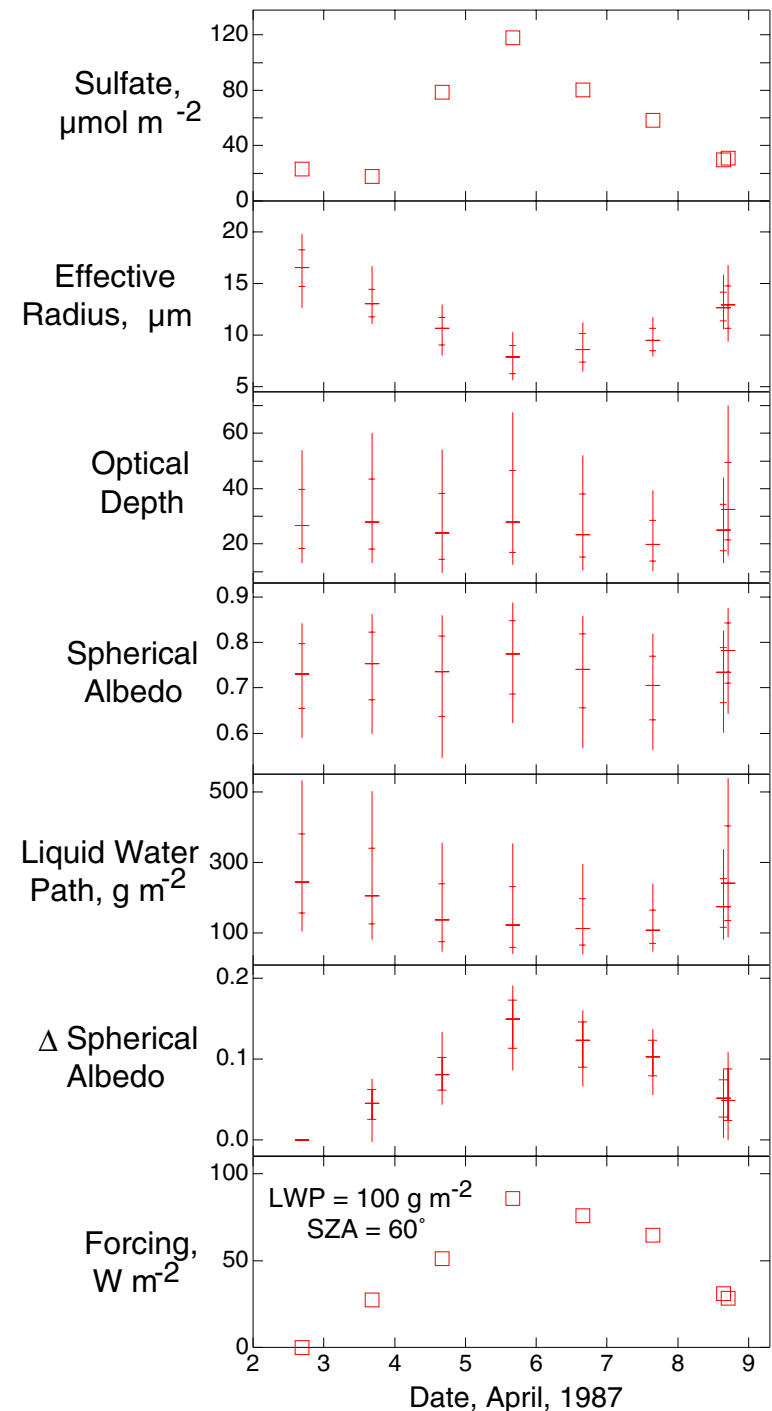
Mid North Atlantic (25-30°W, 50-55°N),  
April 2-8, 1987

Sulfate from chemical transport model  
(Benkovitz et al., *JGR*, 1997)

Cloud drop effective radius and cloud  
optical depth from satellite retrievals  
(Harshvardhan et al., *JAS*, 2002)

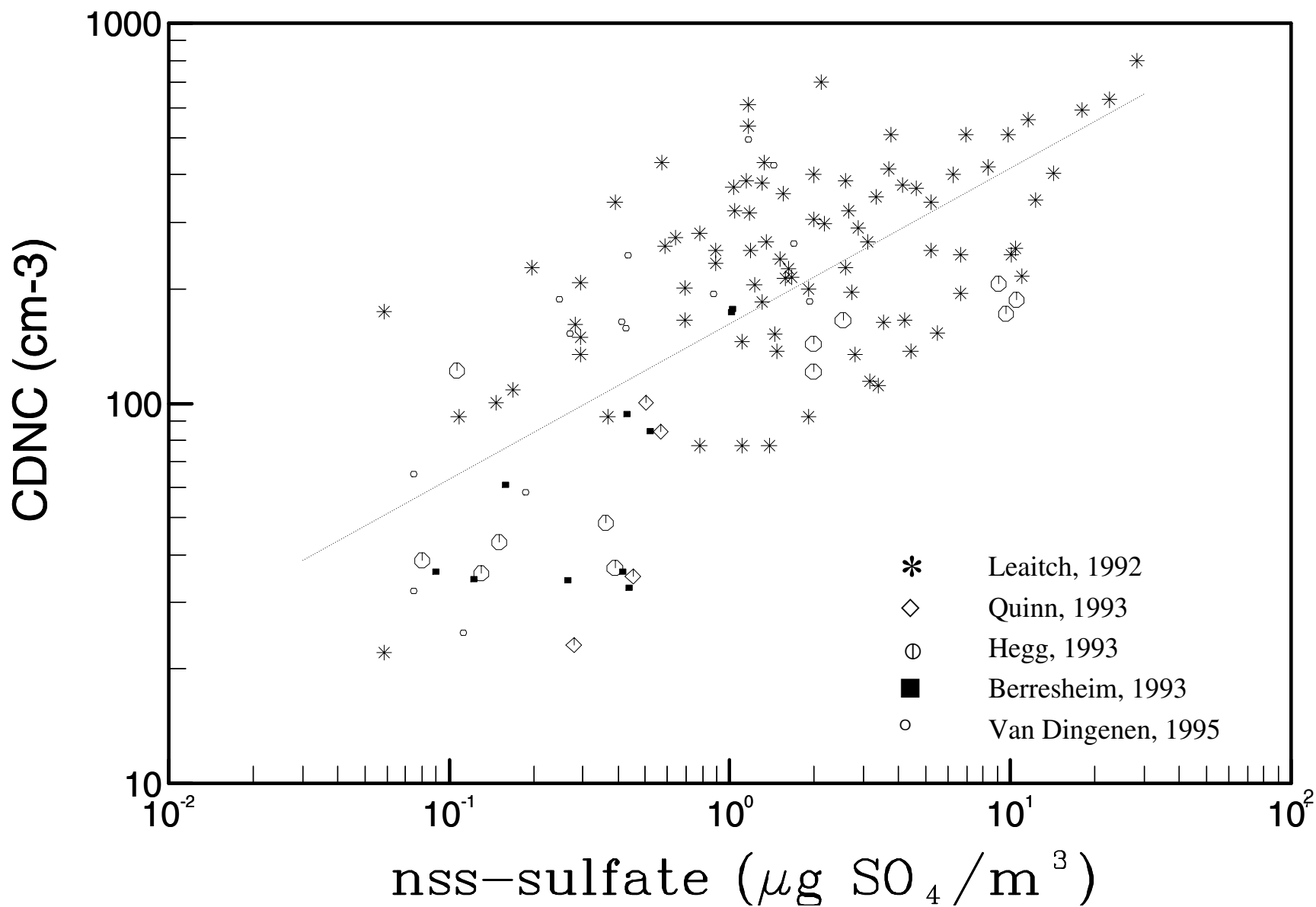
$\Delta$  spherical albedo is calculated relative  
to median effective radius on April 2  
(16.5  $\mu\text{m}$ ) for retrieved LWP  
(Schwartz et al., *PNAS*, 2002)

Forcing is calculated for median  
effective radius relative to April 2;  
solar zenith angle 60°; LWP 100  $\text{g m}^{-2}$



# CLOUD DROPLET NUMBER CONCENTRATION

Dependence on Non-Seasalt Sulfate

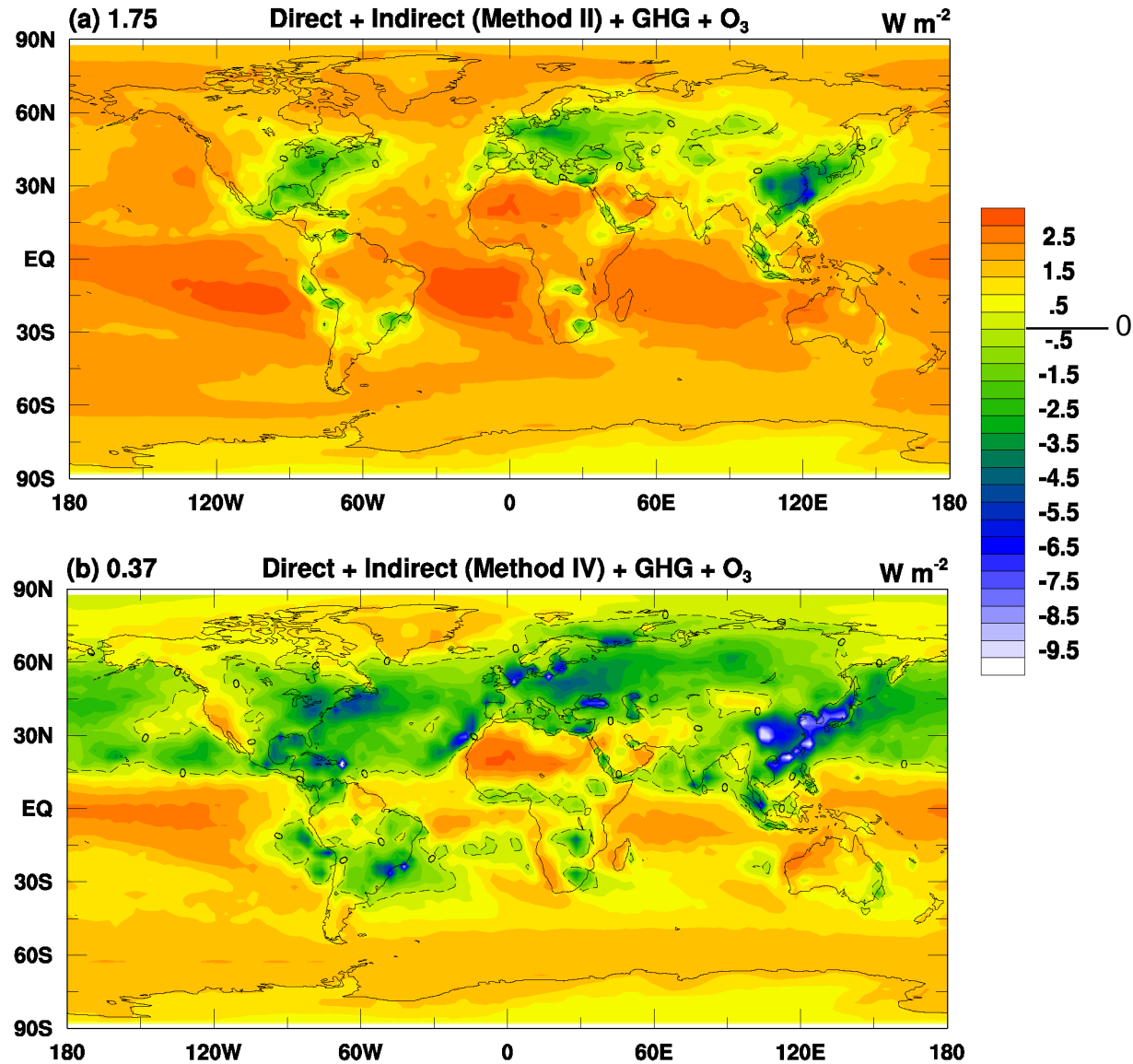


*Boucher and Lohmann, 1995*

# SHORTWAVE FORCING, ANNUAL AVERAGE

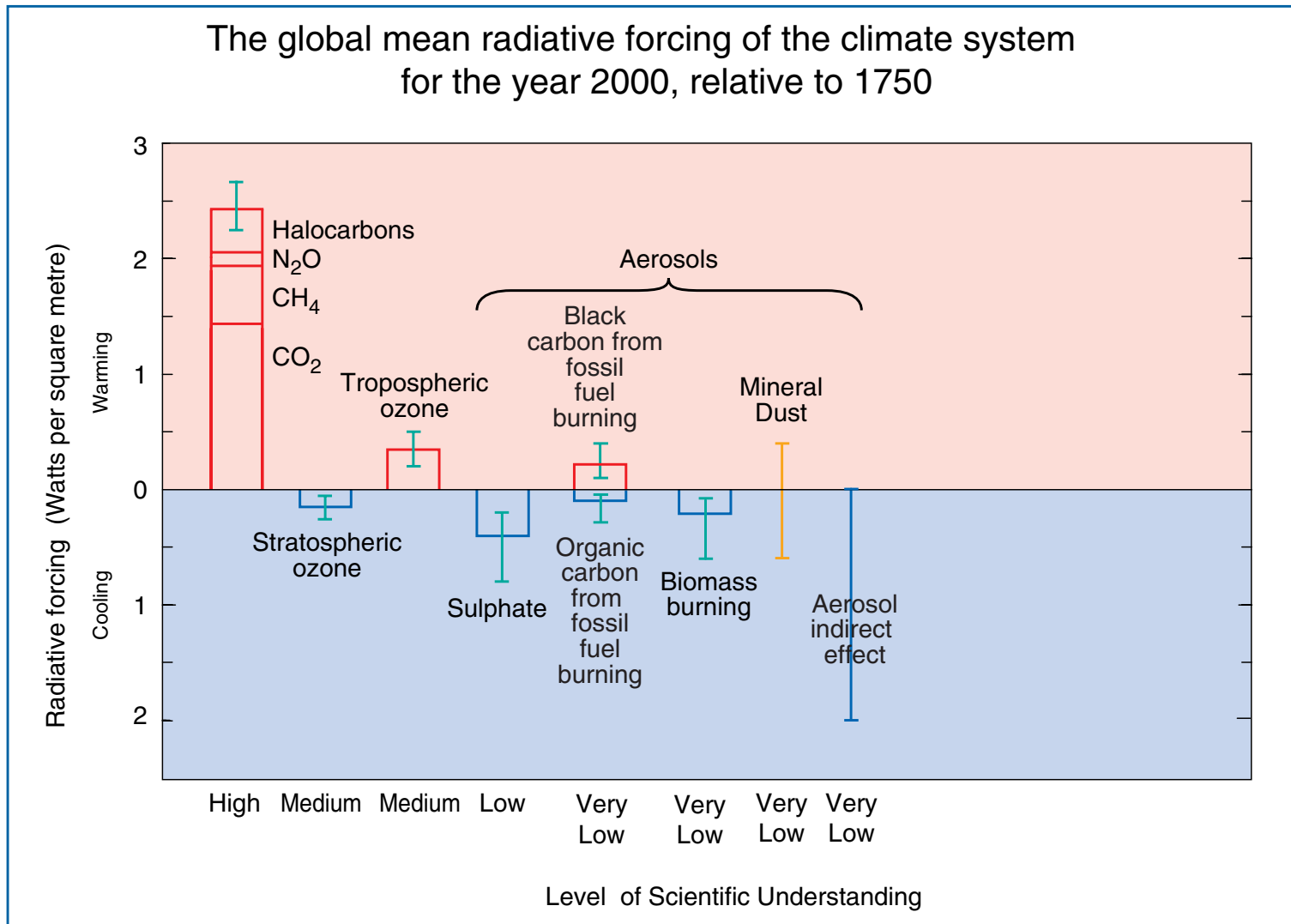
GHG's + O<sub>3</sub> + Sulfate (Direct and Indirect)

Two Formulations of Cloud Droplet Concentration

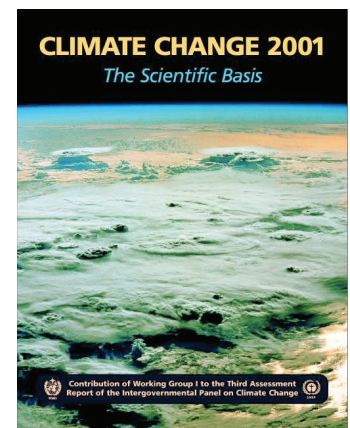


# RADIATIVE FORCING OVER THE INDUSTRIAL PERIOD IPCC (2001)

GHG's and aerosol direct and indirect effects



Summary for Policymakers A Report of Working Group I of the Intergovernmental Panel on Climate Change



# WHY SO LARGE UNCERTAINTY IN AEROSOL FORCING?

- *Uncertainties in knowledge of atmospheric composition*

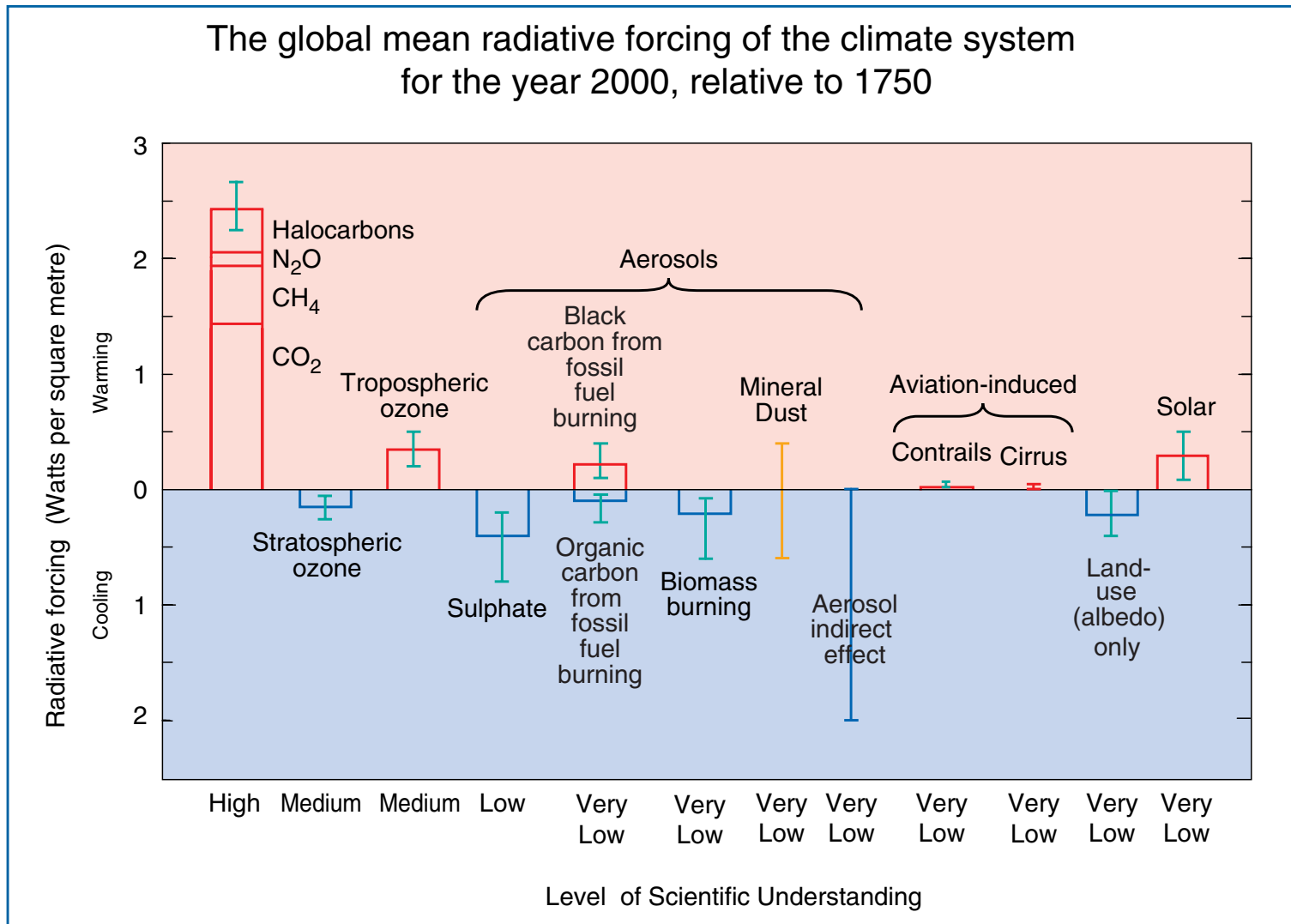
*Mass loading and chemical and microphysical properties and cloud nucleating properties of anthropogenic aerosols, and geographical distribution.*

*At present and as a function of secular time.*

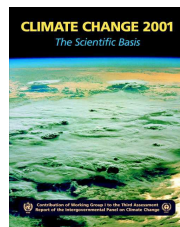
- *Uncertainties in knowledge of atmospheric physics of aerosols*

*Relating direct radiative forcing and cloud modification by aerosols to their loading and their chemical and microphysical properties.*

# RADIATIVE FORCING OVER THE INDUSTRIAL PERIOD IPCC (2001)



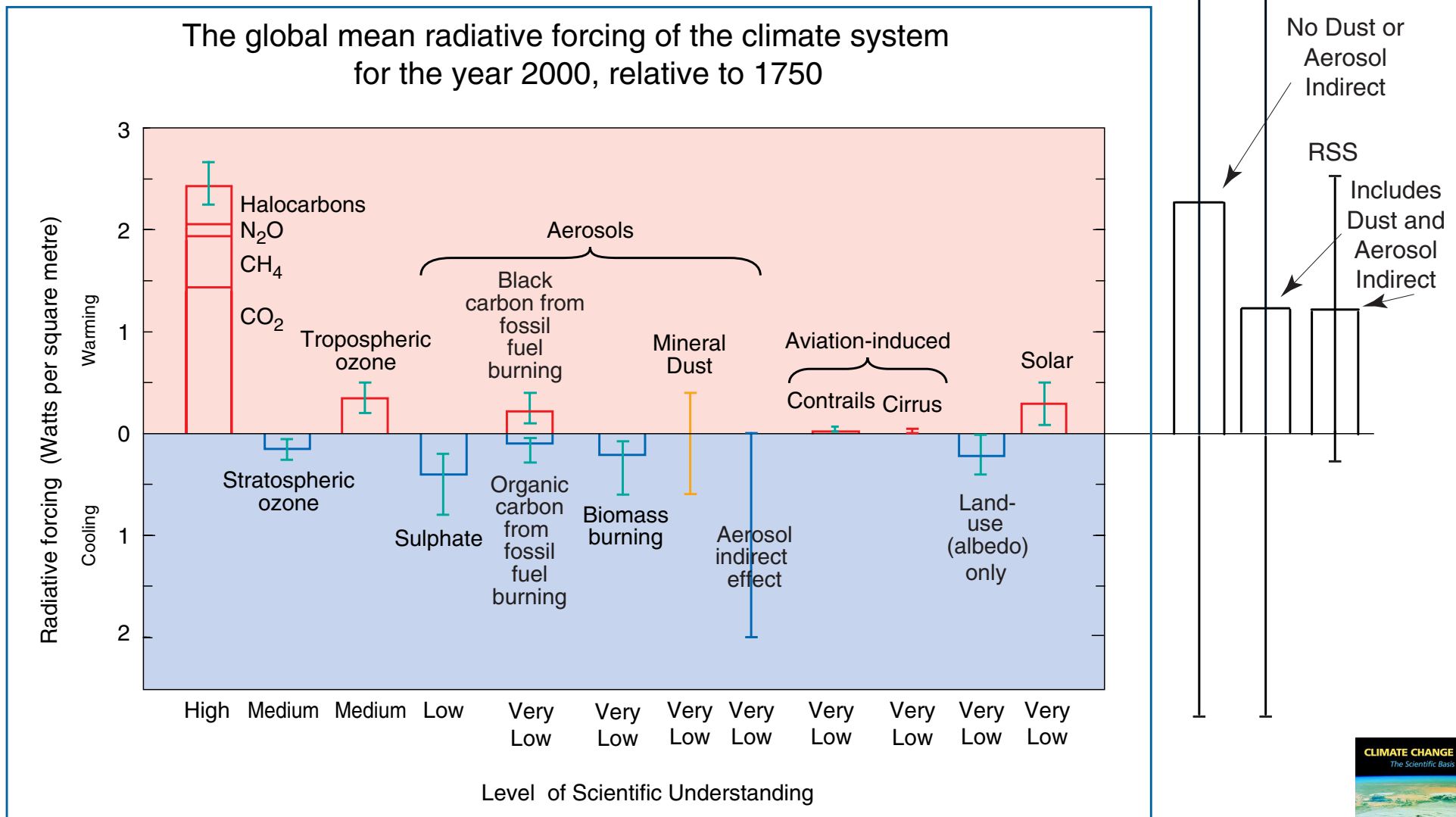
**Summary for Policymakers** A Report of Working Group I of the Intergovernmental Panel on Climate Change





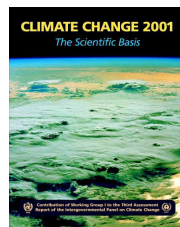
# RADIATIVE FORCING OVER THE INDUSTRIAL PERIOD IPCC (2001)

With totals and overall uncertainties by 3 approaches



Summary for Policymakers

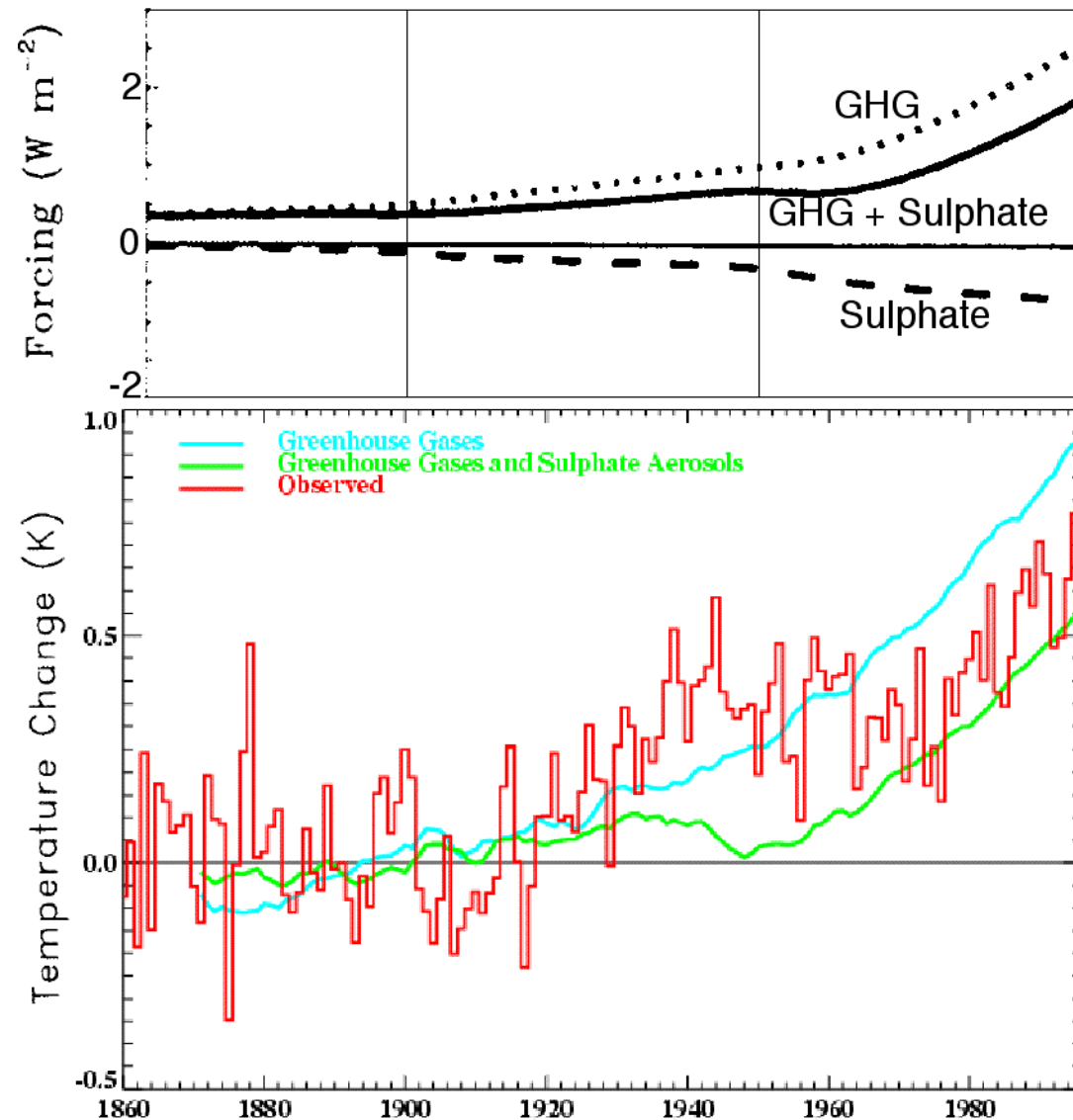
A Report of Working Group I of the  
Intergovernmental Panel on Climate Change



# REPRESENTING AEROSOL INFLUENCES IN CLIMATE MODELS

# FORCING AND RESPONSE IN THE UK MET OFFICE MODEL (1995)

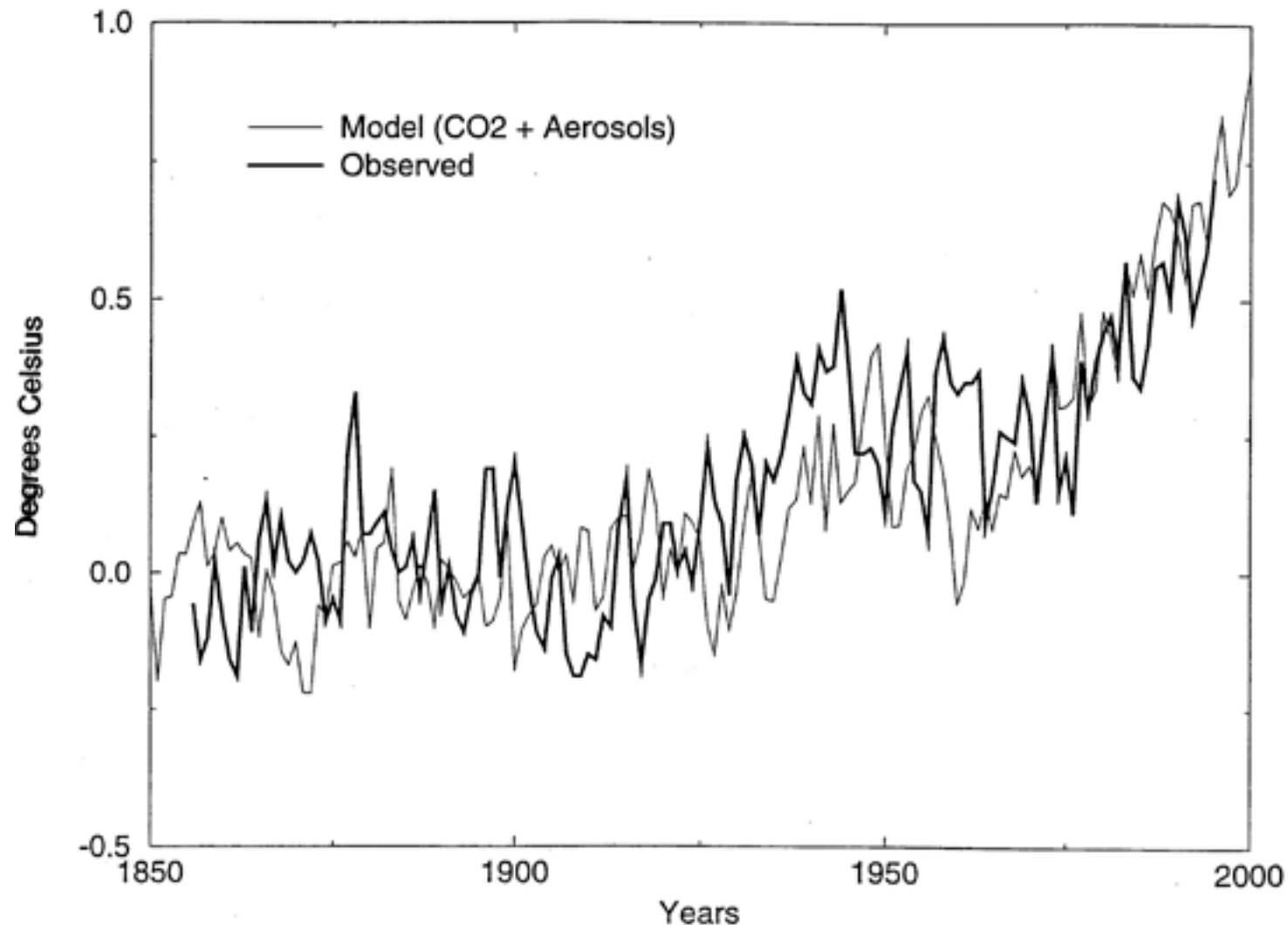
Model sensitivity = 2.5 K per CO<sub>2</sub> doubling; sulfate direct forcing only, -0.6 W m<sup>-2</sup> (1990)



“Inclusion of sulphate aerosol forcing *improves the simulation* of global mean temperature over the last few decades.” -- *Mitchell, Tett, et al., Nature, 1995*

# CLIMATE RESPONSE IN THE GFDL MODEL (1997)

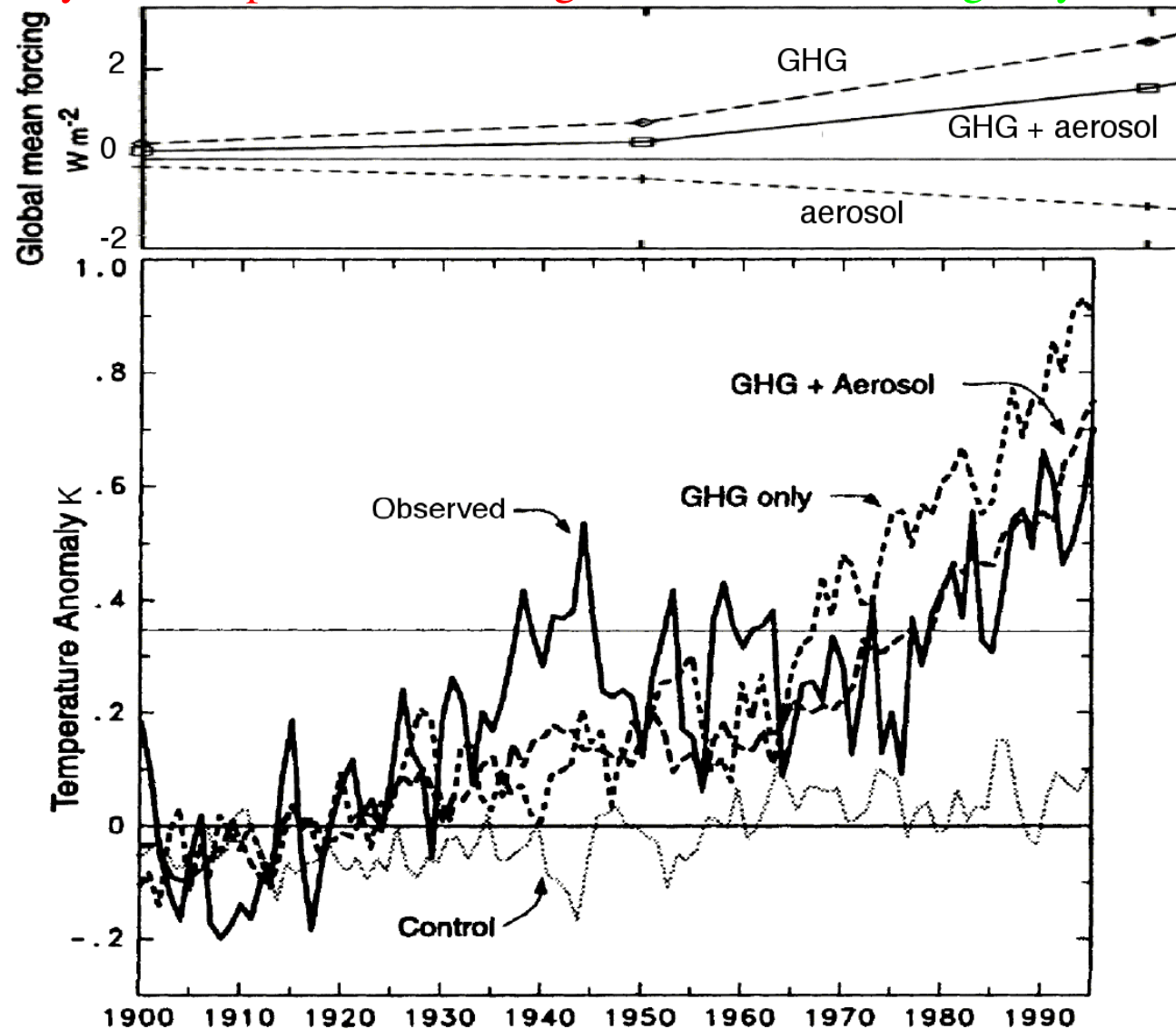
Model sensitivity = 3.7 K per CO<sub>2</sub> doubling; sulfate direct forcing only, -0.6 W m<sup>-2</sup> (1990)



“The global average SAT trend from the model [is] in *reasonable agreement* with the observations.” -- Haywood, Ramaswamy et al., *Geophys. Res. Lett.*, 1997

# FORCING AND RESPONSE IN THE CANADIAN CLIMATE MODEL (2000)

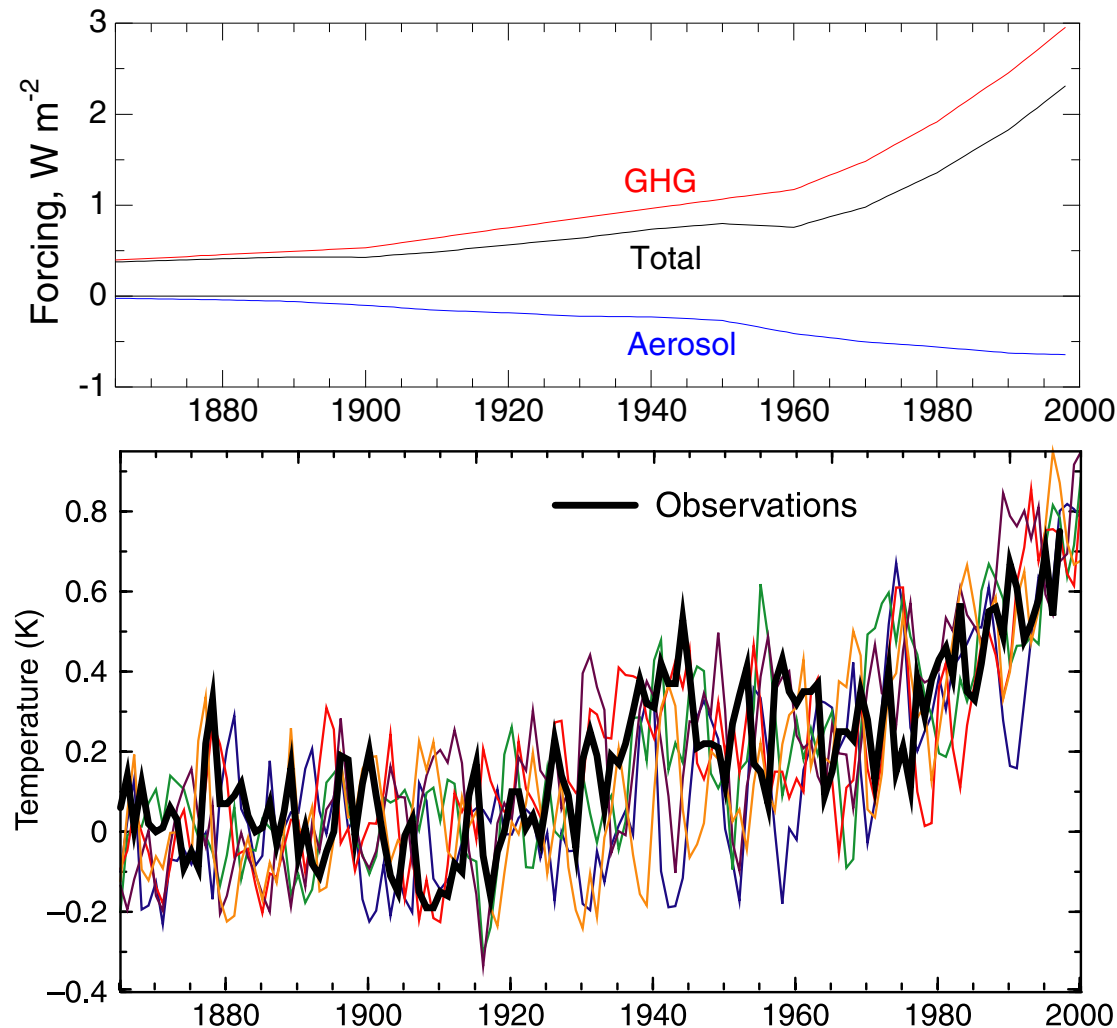
Model sensitivity = 3.5 K per CO<sub>2</sub> doubling; sulfate direct forcing only, -1.0 W m<sup>-2</sup> (1990)



“Observed global mean temperature changes and those simulated for GHG + aerosol forcing show *reasonable agreement*.” -- Boer, et al., *Climate Dynamics*, 2000

# CLIMATE RESPONSE IN THE GFDL MODEL (2000)

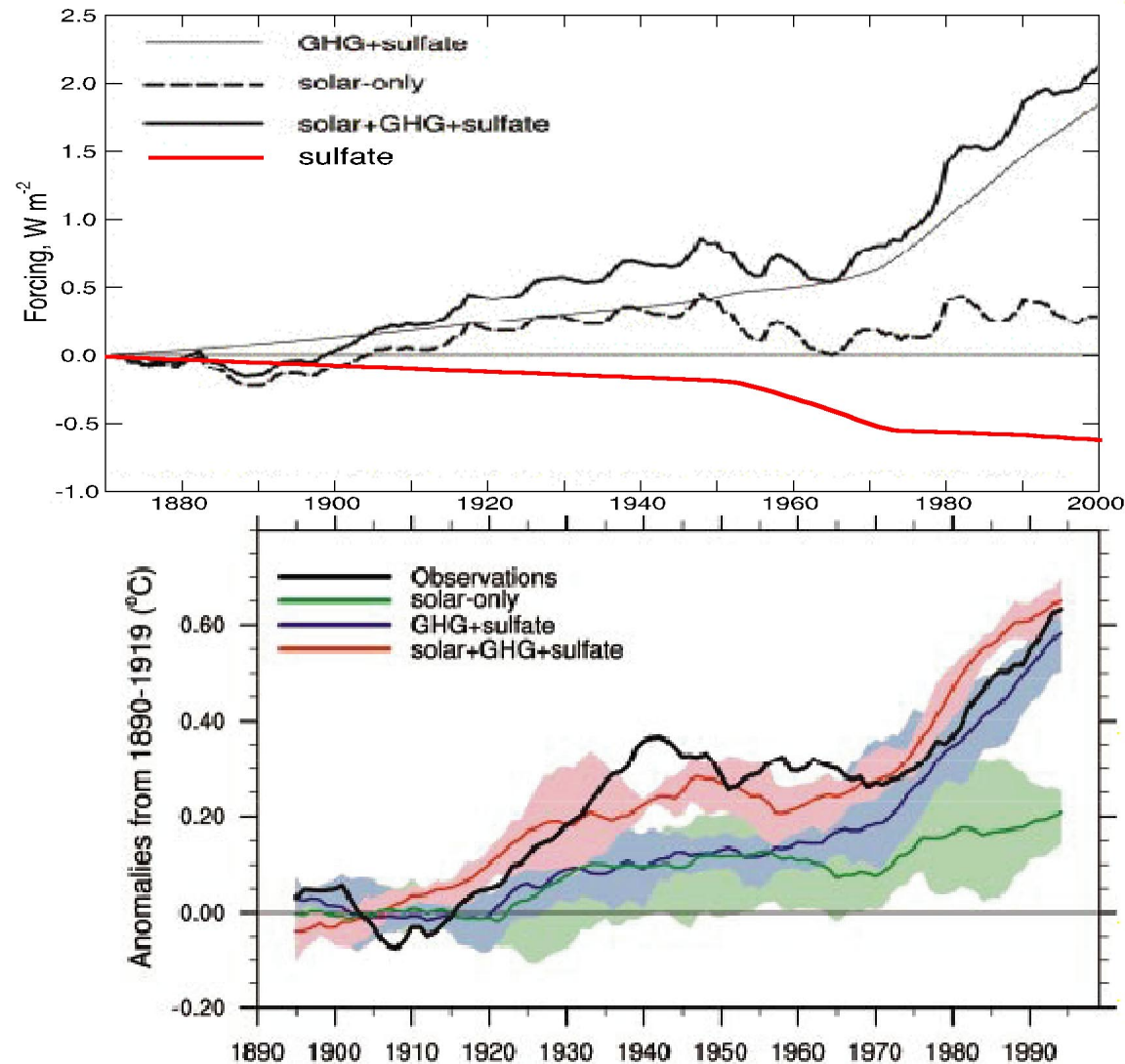
Model sensitivity = 3.4 K per CO<sub>2</sub> doubling; sulfate forcing, -0.62 W m<sup>-2</sup> (1990)



“The surface temperature time series from the five GHG-plus-sulfate integrations show an increase over the last century, which is *broadly consistent* with the observations.” -- *Delworth & Knutson, Science, 2000*

# FORCING AND RESPONSE IN THE NCAR MODEL (2003)

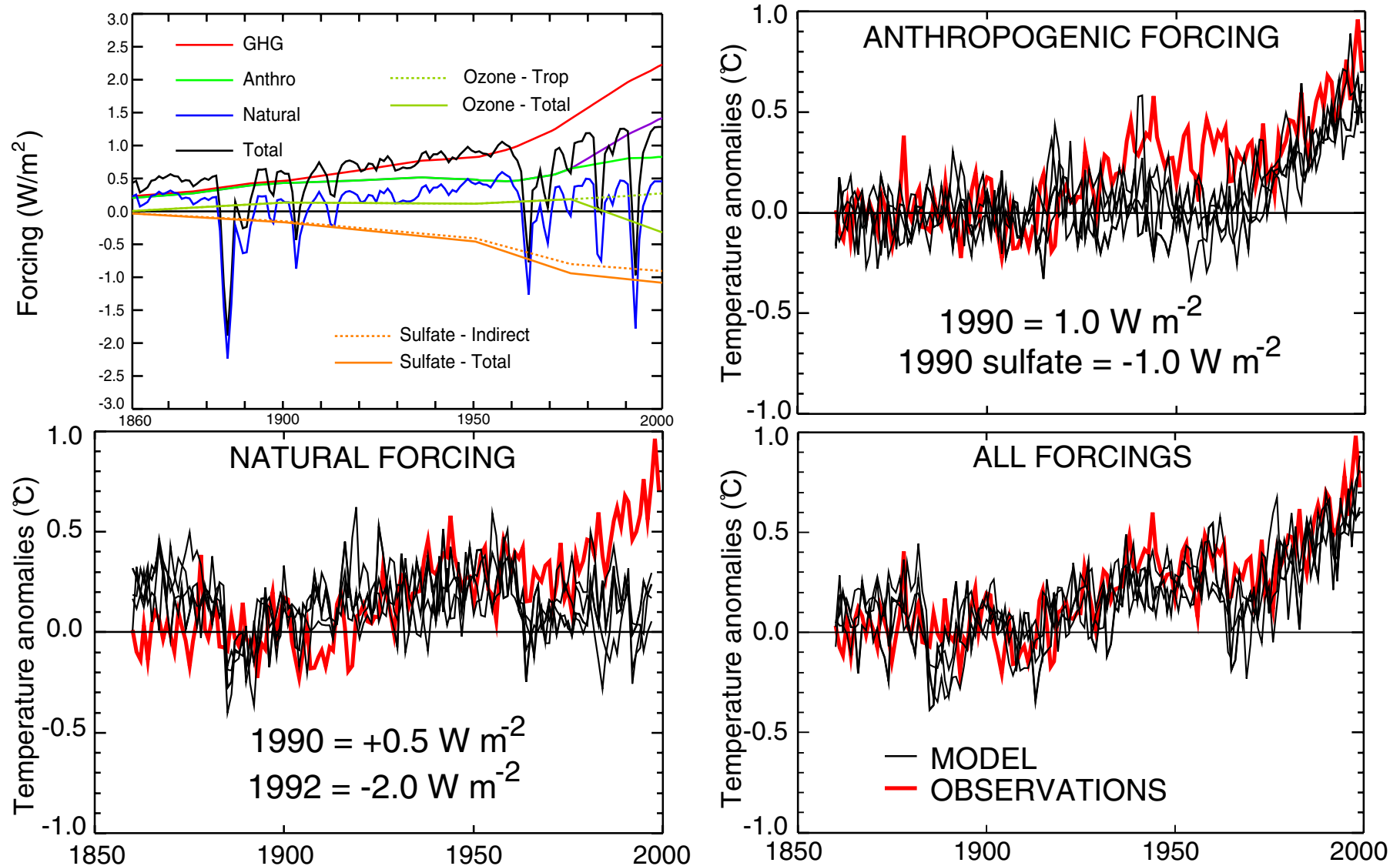
Model sensitivity = 2.18 K per CO<sub>2</sub> doubling; sulfate direct forcing only, -0.6 W m<sup>-2</sup> (1990)



“The time series from GHG + sulfates + solar shows *reasonable agreement* with the observations.” -- Meehl, Washington, Wigley et al., *J. Climate*, 2003.

# FORCING AND RESPONSE IN THE UK MET OFFICE MODEL (2000)

Model sensitivity = 3.45 K per CO<sub>2</sub> doubling; sulfate + indirect forcing, -1.1 W m<sup>-2</sup> (1990)

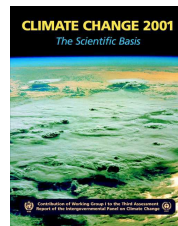


“The ALL ensemble *captures the main features* of global mean temperature changes observed since 1860.” -- Stott, Tett, Mitchell, et al., Science, 2000



# IPCC-2001 STATEMENTS ON DETECTION AND ATTRIBUTION OF CLIMATE CHANGE

- “ Simulations that include estimates of natural and anthropogenic forcing reproduce the observed large-scale changes in surface temperature over the 20th century.*
- “ Most model estimates that take into account both greenhouse gases and sulphate aerosols are consistent with observations over this period.*



OUR SIMULATIONS THAT INCLUDE ESTIMATES  
OF NATURAL AND ANTHROPOGENIC FORCING  
REPRODUCE THE OBSERVED LARGE-SCALE  
CHANGES IN SURFACE TEMPERATURE  
OVER THE 20TH CENTURY.

BUT MOM, DON'T THE  
GCM CALCULATIONS  
REQUIRE ACCURATE  
ESTIMATES OF  
FORCING?

SHHHH!! THE EMPEROR  
MIGHT HEAR YOU.



# UNCERTAINTY PRINCIPLES

$$\text{Climate sensitivity } \lambda = \Delta T / F$$

The fractional uncertainty in climate sensitivity  $\lambda$  is evaluated from fractional uncertainties in temperature change  $\Delta T$  and forcing  $F$  as:

$$\frac{\delta\lambda}{\lambda} = \sqrt{\left(\frac{\delta\Delta T}{\Delta T}\right)^2 + \left(\frac{\delta F}{F}\right)^2}$$

A reasonable target uncertainty might be:

$$\frac{\delta\lambda}{\lambda} = 30\%, \text{ e.g., } \Delta T_{2\times\text{CO}_2} = (3 \pm 1) \text{ K}$$

This would require uncertainties in temperature anomaly and forcing:

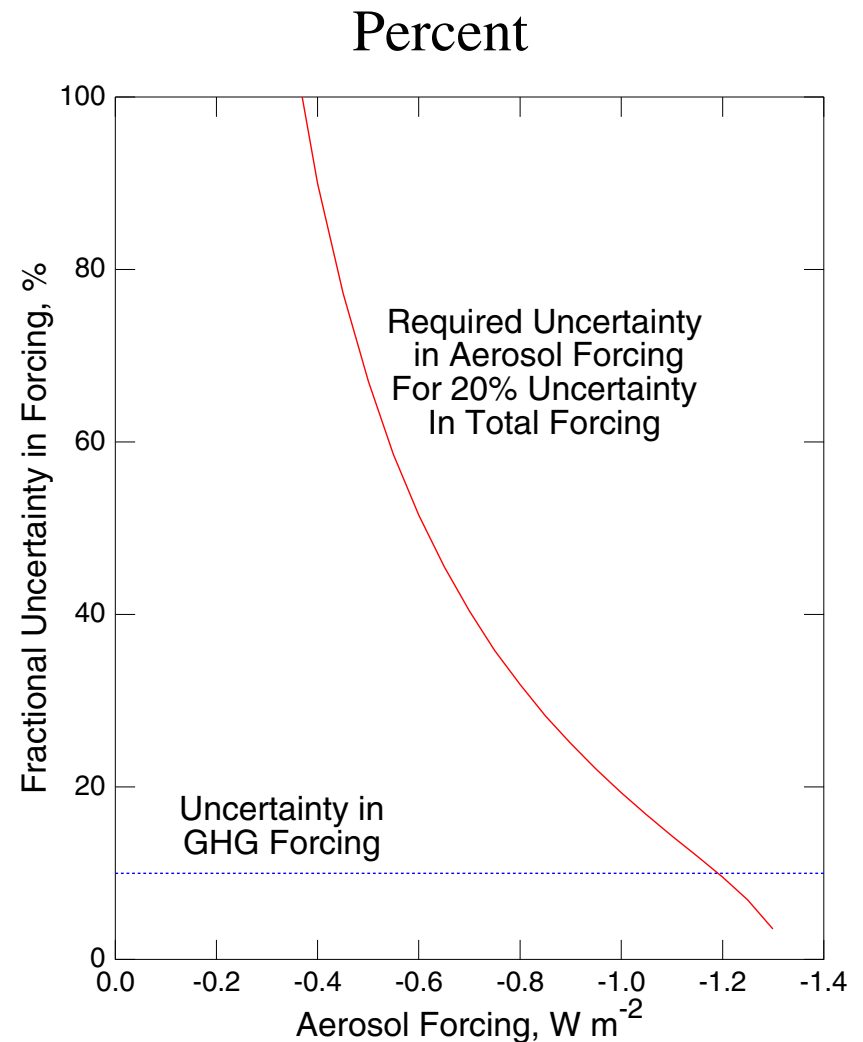
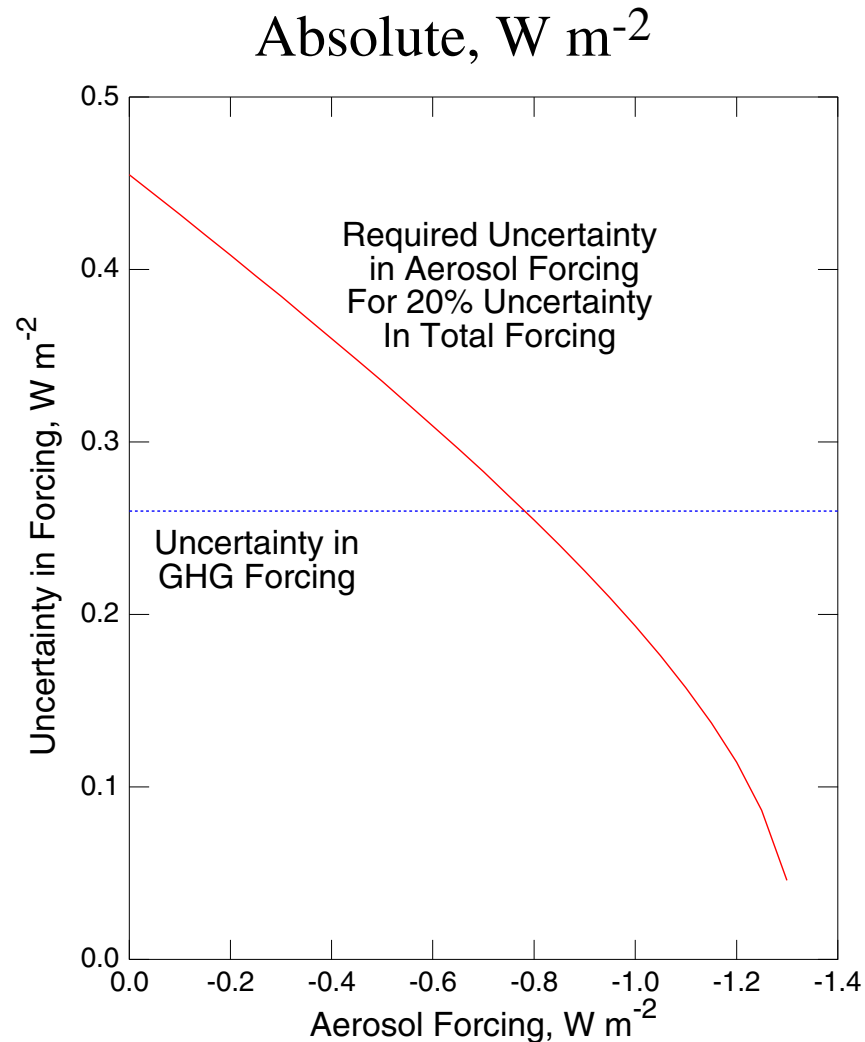
$$\frac{\delta\Delta T}{\Delta T} \approx \frac{\delta F}{F} \approx 20\%.$$

This imposes *stringent requirements on uncertainty in aerosol forcing!*

# REQUIRED UNCERTAINTY IN AEROSOL FORCING

Uncertainty in total forcing not to exceed 20%

GHG Forcing (well mixed gases + strat and trop O<sub>3</sub>) =  $2.6 \text{ W m}^{-2} \pm 10\%$



# KEY REQUIREMENTS FOR FUTURE RESEARCH

- *Abundance, composition, and mixing state and optical and cloud-nucleating properties of atmospheric aerosols as a function of location and time*

## *Observation*

- *In-situ* measurements.
- Ground-based and satellite-based remote sensing.

## *Chemical transport modeling*

- Evaluate by comparison with observation.

- *Sources of aerosols and aerosol precursors (mass rates and size dependent composition and mixing state)*

## *Measurement*

- As a function of location and controlling variables.
- For anthropogenic *and* natural aerosols.

## *Develop emission factors and emission inventories*

- *Atmospheric chemical and microphysical transformation processes*

## *Laboratory, theory, field measurements and modeling*

*cont'd . . .*

## KEY REQUIREMENTS FOR FUTURE RESEARCH (*cont'd*)

- ***Wet and dry removal processes***  
Size and composition dependence.
- ***Representation of aerosols in chemical transport models***  
Mass loading as a function of location and secular time.  
Size-dependent composition and mixing state.
  - Optical properties
  - Hygroscopic properties
  - Cloud nucleating properties
- ***Aerosol-radiation interactions***  
Quantify aerosol influences on short- and longwave radiation in cloud-free skies.
- ***Aerosol - cloud interactions***  
Quantify the effects of changes in aerosol abundance and composition on cloud formation, persistence, and amount, on precipitation amounts, and on cloud radiative properties.
- ***Uncertainties in all the above***



*Thank you!*

Stephen E. Schwartz



<http://www.ecd.bnl.gov/steve/schwartz.html>